U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE CRM PTO 130r Modified) 29666/35415 (was 28385) TRANSMITTAL LETTER TO THE UNITED STATES U S. APPLICATION NO (IF KNOWN, SEE 37 CFR DESIGNATED/ELECTED OFFICE (DO/EO/US) 09/529053 CONCERNING A FILING UNDER 35 U.S.C. 371 PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. 11 March 1998 (11.03.98) 11 March 1999 (11.03.99) PCT/US99/05326 TITLE OF INVENTION ANTI-VIRAL USES OF LEFLUNOMIDE PRODUCTS APPLICANT(S) FOR DO/EO/US James W. Williams, Anita Chong and W. J ames Waldman Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C 371(f)) at any time rather than delay \mathbf{X} 3 examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1) A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 4. A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) 5. \boxtimes is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the International Bureau. b is not required, as the application was filed in the United States Receiving Office (RO/US). c. 🗆 A translation of the International Application into English (35 U.S.C. 371(c)(2)). 6. A copy of the International Search Report (PCT/ISA/210). 7. \boxtimes Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. b. 🔲 have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. d. 🗆 A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)) A copy of the International Preliminary Examination Report (PCT/IPEA/409). 11. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 12. (35 U.S.C. 371 (c)(5)). Items 13 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 13 An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 14 A FIRST preliminary amendment. X15. A SECOND or SUBSEQUENT preliminary amendment. 16. A substitute specification. 17. A change of power of attorney and/or address letter. 18. Certificate of Mailing by Express Mail \times 19. 20. Other items or information: П Sequence listing diskette and Statement Under 37 CFR 1.821 2 Declaration Forms Assignment and cover sheet

3 Small Entity Statements

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PATENT

Attorney Docket No: 29666/35415

(was 28385/35415)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: James W. Williams et al. "EXPRESS MAIL" mailing label No. EM 401 480 128 US. US National Phase of Int'l. Appl. No. PCT/US99/05326 Date of Deposit: April 6, 2000 I hereby certify that this paper and all documents For: Anti-Viral Uses of Leflunomide referred to therein as being enclosed are being Products deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO Filed: herewith ADDRESSEE" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to BOX PCT, Assistant Commissioner for Patents, Washington, D.C. 20231. renaus Richard Zimmermann

PRELIMINARY AMENDMENT

BOX PCT Assistant Commissioner for Patents Washington, DC 20231

Sir:

Please add the abstract on the front page of the Int'l Publication of the application filed herewith.

If the sequence listing was not forwarded from the PCT International Bureau, please add the sequence listing (2 pages) enclosed herewith. Although both paper and computer readable copies of the sequence listing were filed with the PCT application, the sequence listing was not included in the publication of the application.

Respectfully submitted,

MARSHALL, O'TOOLE, GERSTEIN, MURRAY & BORUN

Harin Bon Loures

6300 Sears Tower

233 South Wacker Drive

Chicago, Illinois 60606-6402

(312) 474-6300

By:

Li-Hsien Rin-Laures, M.D.

Reg. No. 33,547

From: 0828

PATENT

Attorney's Docket No: 28385/35415

Applicant or Patentee:

Williams et al.

Serial or Patent No:

to be determined

Filed or Issued:

herewith

For:

Anti-Viral Uses of Leflunomide Products

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c)) -- SMALL BUSINESS CONCERN

I hereby declare that I am

- The owner of the small business concern identified below:
- An official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN VirGene Pharmaceuticals AG

ADDRESS OF BUSINESS

Am Klopferspitz 19, 82152 Planegg -

Martinsried, Germany

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to, and remain with, the small business concern identified above with regard to the invention, entitled ANTI-VIRAL USES OF LEFLUNOMIDE PRODUCTS, by inventor(s) James W. Williams, Anita Chong and James Waldman, described in

\boxtimes	The	specification	filed	herewith.	(International	Application	No
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Patent No. ______, issued _____.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 19(c), if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

*NOTE:

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Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities.

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SIGNATURE: Line pl Date December 17, 1999

Attorney's Docket No: 28385/35415

Applicant or Patentee:

Williams et al.

Serial or Patent No:

to be determined

Filed or Issued:

herewith

For:

Anti-Viral uses of Leflunomide Products

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(d)) -- NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION:

Ohio State University Research Foundation

ADDRESS OF ORGANIZATION: 1960 Kenny Road,

Columbus, Ohio 43210-1063

UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION

TYPE OF ORGANIZATION

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⊠	TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USO 501(a) and 501(c)(3))
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	(NAME OF STATE) (CITATION OF STATUTE)
	WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 USC 501 (a) and 501 (c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
	WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA (NAME OF STATE) (NAME OF STATUTE)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled Anti-Viral Uses of Leflunomide Products, by inventor(s) James W. Williams, Anita Chong and James W. Waldman described in

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Attorney's Docket No: 28385/35415

Applicant or Patentee: Williams et al.

Serial or Patent No: to be determined

Filed or Issued: herewith

For: Anti-Viral Uses of Leflunomide Products

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(b)) -- INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 C.F.R. 1.9(c) for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled: Anti-Viral Uses of Leflunomide Products described in

\boxtimes	The	specification	filed	herewith.	(International	Application	No
	PCT	/US99/05326)					
	Application Serial No. , filed .						
	Pate	nt No		, issued			

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- No such person, concern, or organization
- □ Persons, concerns or organizations listed below*

*NOTE:

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

-	FULL NAME: VirGene Pharmaceuticals AG
	ADDRESS: Am Klopferspitz 19, 82152 Planegg - Martinsried, Germany
	☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION
	FULL NAME:
	ADDRESS:
	□ INDIVIDUAL □ SMALL BUSINESS CONCERN □ NONPROFIT ORGANIZATION
	I acknowledge the duty to file, in this application or patent, notification of any change
	in status resulting in loss of entitlement to small entity status prior to paying, or at the
	time of paying, the earliest of the issue fee or any maintenance fee due after the date
	on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).
	I hereby declare that all statements made herein of my own knowledge are true and
	that all statements made on information and belief are believed to be true; and further
	that these statements were made with the knowledge that willful false statements and
	the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may
	jeopardize the validity of the application, any patent issuing thereon, or any patent to
	which this verified statement is directed.
	James W. Williams
	Manus Oe /// Della: Date: Dec. 16, 1889
	Signature of Inventor
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ANTI-VIRAL USES OF LEFLUNOMIDE PRODUCTS

This application claims priority of U.S. provisional application no. 60/077,552 filed March 11, 1998.

BACKGROUND OF THE INVENTION

The present invention relates generally to novel anti-viral materials and methods involving leflunomide products.

Leflunomide (HWA-486) is an isoxazole derivative with a chemical name of N-(4-trifluoromethylphenyl)-5-methylisoxazol-4-carboxamide. After administration, the compound is rapidly converted to its active open-ring form, N-(4-trifluoromethylphenyl)-2-cyano-3-hydroxycrotonamide (A771726), which then rapidly undergoes keto-enol tautomerism to form N-(4-trifluoromethylphenyl)-2-cyano-3-oxo-butyramide, shown below.

[Stecher et al., Ann. Report Med. Chem., 18:171-179 (1983).] Leflunomide originated from a series of compounds that were designed as agricultural herbicides by scientists at Hoechst AG. It was later found to have anti-inflammatory and immunosuppressive activity, and it has been evaluated in animal models of autoimmune disease and transplant rejection. The metabolite exhibits two mechanisms of action: inhibition of protein tyrosine kinase activity, and inhibition of dihydroorotate dehydrogenase, a key enzyme in the biosynthesis of pyrimidine nucleotide triphosphates. See generally Silva et al., Am. J. Med. Sci., 313(5):289-301 (1997) and Principles of Drug Development in Transplantation and

Autoimmunity, Lieberman and Mukherjee, eds., R.G. Landes Co., Austin (1996). The drug is well tolerated in animals and man and is currently under clinical investigation in human patients with advanced rheumatoid arthritis. No anti-viral activity has previously been reported for leflunomide or its metabolite.

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U.S. Patent No. 4,087,535 by Heubach describes a group of 5-methyl-isoxazole-4-carboxylic acid anilides having anti-inflammatory and analgesic activity. U.S. Patent No. 4,351,841 by Kammerer et al. reports that the particular anilide designated leflunomide displays superior anti-inflammatory activity and decreased toxicity. In U.S. Patent No. 4,351,841, leflunomide was shown to inhibit immunopathological processes in two rat adjuvant arthritis models and a rat allergic encephalitis model. U.S. Patent No. 4,965,276 by Bartlett et al. describes the use of leflunomide to treat chronic graft vs. host (cGvH) disease and other autoimmune diseases such as systemic lupus erythematosus (SLE).

The human herpes viruses are a family of related viruses which includes herpes simplex virus 1 (HSV-1), HSV-2, varicella zoster virus (VZV), Epstein-Barr virus (EBV), cytomegalovirus (CMV), human herpesvirus 6 (HHV-6), HHV-7 and HHV-8 (also known as Kaposi's sarcoma herpesvirus, or KSHV). The herpes viruses share a similar morphology, almost indistinguishable on electron microscopy, and have a similar developmental cycle and a common ability to establish lifelong latency/persistence in the infected host. A typical herpesvirion consists of a core containing a linear double-stranded DNA, an icosadeltahedral protein capsid approximately 100 to 110 nm in diameter containing 162 capsomeres, an amorphous material surrounding the capsid designated as the tegument, and an outer lipid-containing envelope with viral glycoprotein spikes on the surface.

The members of the family Herpesviridae have been classified into three subfamilies, the Alphaherpesvirinae, Betaherpesvirinae and the Gammaherpesvirinae, on the basis of biologic properties. The Alphaherpesvirinae (including HSV-1, HSV-2 and VZV) have a variable host range, relatively short

- 3 -

reproductive cycle, rapid spread in culture, efficient destruction of infected cells and capacity to establish latent infections primarily in the sensory ganglia. The Betaherpesvirinae (including CMV) have a restricted host range, a long reproductive cycle, a slow progression of infection in culture, frequent enlargement (cytomegalia) of infected cells, and capacity to maintain latency in secretory glands, lymphoreticular cells, kidneys and other tissue. The Gammaherpesvirinae (including EBV) have a limited host range, are specific for either T or B lymphocytes, frequently infect at a prelytic or lytic stage without production of infectious progeny, and frequently maintain latency in lymphoid tissue. See generally Chapter 1, *The Human Herpesviruses*, Roizman et al. eds., Raven Press, New York (1993); *Harrison's Principles of Internal Medicine*, 13th ed., Isselbacher et al., eds., McGraw-Hill, NY (1994); Frenkel et al., *Dev. Biol. Stand.*, 76:259-265 (1992); Gillison et al., *Curr. Opin. Oncol.*, 9:440-449 (1997).

In some cases, infection of cells with herpes virus does not result in cell death. Instead, the viral genome is maintained by the cell in a repressed state compatible with survival and normal activities of the cell, a process called latency. Subsequently, activation of the viral genome may occur, resulting in viral replication and reactivation of pathogenic disease, particularly in immunocompromised patients.

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HSV infections are found worldwide. Over 90 percent of adults have antibodies to HSV-1 by the fifth decade. In lower socioeconomic populations, most persons will acquire HSV-1 infection before the third decade. The clinical manifestations and course of HSV depend on the site of the infection, the age and immune status of the host, and type of virus. HSV can infect nearly all visceral or mucocutaneous sites and cause, *e.g.*, pharyngitis or gingivostomatitis; ophthalmitis (the most frequent cause of corneal blindness in the United States); encephalitis (causing 10 to 20 percent of all encephalitis cases) or central nervous system (CNS) involvement; infection of visceral organs such as the esophagus, lung or liver, usually resulting from viremia; and other complications including

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monoarticular arthritis, adrenal necrosis, idiopathic thrombocytopenia and glomerulonephritis.. Neonates (<6 weeks of age) have the highest frequency of visceral and/or CNS infection of any HSV-infected patient population. Without therapy, the overall mortality of neonatal herpes is 65 percent, and less than 10 percent of neonates with CNS infection experience normal development. Antiviral chemotherapy has reduced the mortality of neonatal herpes to 25 percent, but morbidity is still very high, especially in infants with HSV-2 CNS involvement.

HSV can be diagnosed by histological examination of samples from the lesions. Staining with Wright, Giemsa (Tzanck preparation), or Papanicolaou's stain will show characteristic giant cells or intranuclear inclusions typical of a herpesvirus infection. Confirmation of HSV infection is best performed by isolation of virus in tissue culture or demonstration of HSV antigens or DNA in lesions. For mucocutaneous infections, acyclovir has been the mainstay of therapy. Idoxuridine, trifluorothymidine, and vidarabine are available for topical use in HSV eye infections. For HSV encephalitis, intravenous acyclovir is the treatment of choice. For neonatal HSV infections, high-dose intravenous vidarabine and acyclovir are effective.

VZV causes two distinct clinical entities: varicella, also known as chickenpox, and herpes zoster, also known as shingles. It is most likely transmitted by the respiratory route, followed by localized replication at an undefined site, presumably the nasopharynx, leading to seeding of the reticuloendothelial system with, ultimately, viremia. The characteristic skin lesions of chickenpox are its most prominent manifestation. Chickenpox is highly contagious, with an attack rate of at least 90 percent among susceptible or seronegative individuals. Children between the ages of 5 and 9 account for 50 percent of all cases.

Immunocompromised individuals have more numerous lesions which take longer to heal, and are at greater risk for visceral complications, which occur in 30 to 50 percent of cases and which are fatal in 15 percent. Neonatal varicella infection is associated with a mortality rate as high as 30 percent. Other complications of

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- 5 -

VZV infection include CNS involvement; varicella pneumonia; myocarditis; corneal lesions; nephritis; arthritis; bleeding diatheses; acute glomerulonephritis and hepatitis. Some hepatic involvement is common in chickenpox and is usually asymptomatic.

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The mechanism of reactivation of VZV that results in herpes zoster is unknown. It is presumed that virus infects the dorsal root ganglia during chickenpox, where it remains latent until reactivated. The reactivation in the immunocompromised host is more severe than in the normal individual. Among patients with cutaneous dissemination, there is a 5 to 10 percent increased risk of pneumonitis, meningoencephalitis, hepatitis, and other serious complications. However, even in immunocompromised patients, disseminated zoster is rarely fatal. Patients who have had a bone marrow transplant are at particular risk of VZV infection, 45 percent of such patients have cutaneous or visceral dissemination, and the overall mortality rate is 10 percent.

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VZV infection is typically diagnosed clinically from the characteristic rash of chickenpox. Unequivocal confirmation of the diagnosis is possible through the isolation of virus in susceptible tissue culture cell lines or by the demonstration of seroconversion or a fourfold or greater antibody rise in convalescent versus acute specimens. Immune prophylaxis can be accomplished by the administration of appropriate immune globulin. Both chickenpox and herpes zoster in the immunocompromised host should be treated with intravenous vidarabine or, preferably, intravenous acyclovir (preferred because of decreased toxicity) at a dose of 10 to 12.5 mg/kg every 8 hours for 7 days.

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EBV has a worldwide distribution and is transmitted primarily in saliva or, less commonly, by blood transfusion. In industrialized countries, approximately 50 percent of individuals have experienced a primary EBV infection by adolescence; by adulthood, most individuals are EBV-seropositive. Primary infection with EBV during childhood is usually subclinical, but most adolescents and adults develop the clinical syndrome of infectious mononucleosis, in which

symptoms of malaise, anorexia, and chills precede the onset of pharyngitis, fever, and lymphadenopathy by several days. About half of all patients develop splenomegaly. Other complications of EBV infection include hepatitis; hematologic complications such as autoimmune hemolytic anemia, mild thrombocytopenia, and granulocytopenia; neurologic complications such as cranial nerve palsies and encephalitis; and rare cardiac complications such as pericarditis and myocarditis. The condition known as X-linked lymphoproliferative (XLP) or Duncan's syndrome results in the death of 40 percent of affected males during primary EBV infection. EBV infection is also associated with certain carcinomas and lymphomas, including African Burkitt's lymphoma, anaplastic nasopharyngeal carcinoma, lymphocytic lymphoma and B cell malignancies, particularly in immunosuppressed individuals.

EBV reactivation in immunosuppressed individuals, like CMV reactivation, is frequently associated with a return of the immunoregulatory abnormalities characteristic of the primary immune response to these viruses. The cellular hyporesponsiveness associated with EBV reactivation is generally less intense and less prolonged than that associated with CMV but may contribute to morbidity in immunocompromised individuals.

Primary EBV infection may be diagnosed clinically upon signs and symptoms of infectious mononucleosis, including a relative and absolute lymphocytosis with atypical morphology. The presence of heterophil antibodies can help in diagnosis, but more specific and sensitive tests use EBV-specific antibodies. Isolating EBV in culture is not diagnostic of primary infection because of the ubiquity of the virus among EBV-seropositive individuals. Generally, supportive therapy is sufficient for EBV infection. Acyclovir, α-interferon, and ganciclovir are active inhibitors of EBV replication *in vitro*.

Although less is known of the more recently discovered HHV-6 and HHV-7 (both of which appear to be primarily lymphotropic), epidemiologic studies indicate that, like other members of the herpesvirus family, both are widespread

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among normal healthy adults, and that both may contribute to a variety of disease procedsses in the immunocompromised patient. HHV-8, the most recently discovered human herpesvirus, has been implicated as a causative agent, or important contributing factor, in the development of Kaposi's sarcoma, Castleman's disease and primary effusion lymphomas.

Cytomegalovirus (CMV) has a worldwide distribution and is an important pathogen in all age groups. In addition to inducing severe birth defects, CMV causes a wide spectrum of disorders in older children and adults, ranging from an asymptomatic, subclinical infection, to a mononucleosis-like syndrome, to disseminated disease in the immunocompromised patient. The disease name originated in the observation of characteristic cytomegalic cells, which are presumed to be infected epithelial cells. They are two to four times larger than surrounding cells and often contain an 8- to 10- μ m intranuclear inclusion that is eccentrically placed and surrounded by a clear halo, resulting in an "owl's eye" appearance.

• Approximately 1 percent of newborns in the United States are infected with CMV, and the percentage is higher in many less-developed countries. Cytomegalic inclusion disease develops in approximately 5 percent of congenital fetal CMV infections, and is characterized by petechiae, hepatosplenomegaly and jaundice in 60-80% of patients, and microcephaly, intrauterine growth retardation, and prematurity in 30-50% of patients. Even asymptomatic infants may develop significant psychomotor, hearing, ocular, or dental abnormalities. Neonatal infection is usually asymptomatic but may result in interstitial pneumonitis, adenopathy, rash, hepatitis, anemia, and atypical lymphocytosis.

CMV infection in healthy children and adults is typically subclinical, but may manifest as a heterophil-antibody-negative mononucleosis-like syndrome, including an atypical lymphocytosis. In CMV mononucleosis, however, exudative pharyngitis and cervical lymphadenopathy are rare. Once infected, an individual probably carries the virus for life. Most commonly, the infection remains latent.

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However, reactivation can occur when T-lymphocyte-mediated immunity is compromised, for example, after organ transplantation or in association with lymphoid neoplasms and certain acquired immunodeficiencies. CMV may itself contribute to further T-lymphocyte hyporesponsiveness, which often precedes superinfection with other opportunistic pathogens, such as *Pneumocystis carinii*.

CMV infection is serious only in the immunocompromised host.
CMV has become recognized as an important pathogen in patients with AIDS.
CMV infection is nearly ubiquitous in patients with AIDS and often causes retinitis; hepatitis; respiratory and gastrointestinal involvement; and disseminated disease.
Fatal CMV infections are often associated with persistent viremia and multiple organ system involvement.

CMV also appears to be the most frequent and important viral pathogen complicating organ transplantation. Most primary CMV infections in organ transplant recipients result from transmission of the virus in the graft itself or from blood transfusion. In renal, cardiac, lung, and liver transplant recipients, CMV induces a variety of syndromes, including fever and leukopenia, hepatitis, pneumonitis, esophagitis, gastritis, colitis, and retinitis. The maximal period of risk is between 1 and 4 months after transplantation, although retinitis may be a later complication. Even transplant recipients that are seropositive from a previous infection are still susceptible to re-infection with a variant donor-derived CMV. Reactivation infection, although frequent, is generally less severe. CMV pneumonia occurs in nearly 15 to 20 percent of bone marrow transplant recipients, with a mortality rate of 84 to 88 percent. In addition, CMV infection has been implicated as a contributing factor in allograft rejection.

CMV is preferably diagnosed by isolation of virus from appropriate clinical specimens by culturing on human fibroblast monolayers. Detection of CMV viremia is a good predictor of acute infection. CMV may also be diagnosed by detecting CMV immediate-early antigens or CMV DNA in peripheral blood leukocytes. CMV immune globulin has been reported to reduce CMV-associated

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syndromes and superinfections some patient populations. CMV infections are usually treated with ganciclovir, which has considerably more activity against CMV than its congener acyclovir, or foscarnet. The usual dosage for CMV retinitis is 5 mg/kg IV ganciclovir twice daily for 14 to 21 days followed by 5 mg/kg daily for 5 to 7 days per week. The usual regimen of foscarnet for CMV retinitis is induction with 60 mg/kg IV every 8 h for 14 to 21 days, followed by daily maintenance infusions of 90 to 120 mg/kg.

Viral replication has both nuclear and cytoplasmic phases. The initial steps of replication include attachment of the virus to the host cell and fusion to the host cell membrane, to liberate the nucleocapsid into the cytoplasm of the cell, followed by disassembly of the nucleocapid to release and viral DNA. The virus first initiates transcription of a set of viral genes termed α -genes (also known as the "immediate early" group). The presence of the α -gene products is required for synthesis of the subsequent polypeptide group, the β -polypeptides, many of which are regulatory proteins and enzymes required for DNA replication. Most current antiwiral drugs interrupt β proteins such as the viral DNA polymerase enzyme. The third (γ) class of viral genes products constitute most of the structural proteins of the virus.

Following replication of the viral genome and synthesis of structural proteins, nucleocapsids are assembled in the nucleus of the host cell. Although many details remain to be resolved regarding acquisition of the tegument and envelope, as well as virion transport to the cell surface, some studies have suggested that envelopment occurs as the nucleocapids bud through the inner nuclear membrane into the perinuclear space. Virions then may be transported via the endoplasmic reticulum and the Golgi apparatus to the cell surface.

Most of the anti-viral agents available act by inhibiting viral DNA replication. See generally Chapter 142, "Antiviral Chemotherapy," *Harrison's Principles of Internal Medicine*, , 13th ed., Isselbacher et al., eds., McGraw-Hill, NY (1994). Ganciclovir, 9-[(1,3-dihydroxy-2-propoxy) methyl] guanine, is a

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nucleoside analogue of guanosine. The triphosphate form is incorporated into replicating viral DNA, where its presence dramatically impedes chain elongation by the viral DNA polymerase. It has activity against all herpes viruses but markedly increased activity against CMV. It is available only for intravenous administration and is most commonly administered at an initial therapeutic dose of 5 mg/kg twice a day for 14 to 21 days, followed by a maintenance dose of 5 mg/kg per day or 5 times per week, possibly for as long as the immunosuppression persists. Administration of ganciclovir has been associated with profound bone marrow suppression, particularly neutropenia, which represents a major limitation of its use in many patients. Bone marrow toxicity is potentiated when other bone marrow suppressants such as zidovudine are used concomitantly.

Foscarnet (sodium phosphonoformate, or PFA) is a pyrophosphate-containing compound which is a potent inhibitor of herpes viruses, including CMV. It inhibits viral DNA replication by binding directly to the viral DNA polymerase. Foscarnet is poorly soluble and must be administered intravenously in a dilute solution infused over 1 to 2 h. The most common initial dosage of foscarnet is 60 mg/kg every 8 h for 14 to 21 days, followed by a maintenance dose of 90 to 120 mg/kg given once a day. Foscarnet exhibits considerable toxicity, including renal dysfunction, hypomagnesemia, hypokalemia, hypocalcemia, seizures, fever, and rash, each occurring in more than 5 percent of recipients. Although hematologic abnormalities also have been observed, foscarnet is not generally myelosuppressive.

Cidofovir, recently approved for use in CMV retinitis, is a monophosphorylated nucleoside analogue which, similar to ganciclovir, is further phosphorylated by cellular kinases, ultimately impeding viral DNA synthesis following incorporation into nascent strands. However, the documented nephrotoxicity of this agent necessitates careful patient selection, as well as concomitant intravenous and oral hydration and probenecid administration. Neutropenia also has been observed in approximately 10% of recipients.

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Acyclovir (9-[(2-hydroxyethoxy)methyl]guanine) is an acyclic analogue of guanosine. The triphosphate form binds viral DNA polymerase and acts as a DNA chain terminator. It is relatively ineffective in CMV infections. The major adverse effect is alteration of renal function. High doses can cause an elevation of serum creatinine in as many as 50% of recipients and can occasionally cause acute renal dysfunction. There have also been reports of some central nervous system toxicity after intravenous administration.

Vidarabidine (9-β-D-arabinofuranosyladenine) is a purine nucleoside analogue that inhibits viral DNA synthesis through its triphosphate form. At higher doses, the drug has been associated with hematopoietic side effects, including anemia, leukopenia and thrombocytopenia. Neurotoxicity has also been reported.

Rhinoviruses are the major known causative agents of adult upper respiratory illnesses such as common colds. They are isolated from about 15 to 40 percent of adults with these illnesses. Only 4 to 5 percent of children with upper respiratory tract illnesses are rhinovirus positive. Rhinoviruses are a subgroup of the picornavirus family and possess certain common characteristics, including small size (15 to 30 nm), an RNA core, ether resistance and complete or almost complete inactivation at pH 3 (in contrast to the enteroviruses, which are stable at pH 3). There are over 100 different rhinovirus serotypes. In general, viral rhinitis is accompanied by thickening, hyperemia and edema of the nasal mucosa, with secretory hyperactivity of the mucus-secreting glands.

Measles is an acute, highly contagious viral disease characterized by fever, coryza, cough, conjunctivitis, enanthen and exanthem. Its morbidity and mortality vary greatly with host and environmental factors. Measles virus is an enveloped RNA paramyxovirus measuring 120 to 250 nm in diameter, similar to other members of the paramyxovirus family (genus morbillivirus) but lacking a neuraminidase. Its single antigenic serotype has been very stable worldwide for many years. The virus contains six major polypeptides which are responsible for a

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number of structural and functional properties, including hemagglutination of erythrocytes, hemolysis, cell fusion, viral assembly and virus penetration. Clinically, after an incubation period of about 11 days, symptoms of fever, malaise, myalgia and headache are observed, followed closely by ocular symptoms of photophobia and burning pain, inflammation of the respiratory tract and sometimes lesions of the palate, pharynx or buccal mucosa. The characteristic measles rash follows these prodromal symptoms by 2-4 days and appears on the face and neck, spreading downwards over the trunk and eventually to the extremities. Although uncomplicated measles is rarely fatal, a rare (0.1%) but serious consequence of measles is an encephalomyelitis that may result in death in about 10% of patients and permanent effects in about half of patients.

Acute viral hepatitis can be caused by a number of viral agents that have a relative or absolute predilection for the hepatocyte. The spectrum of hepatitis infection ranges from subclinical infection to rapidly progressive and fatal disease. After a variable incubation period, viral replication in the liver cell approaches a maximum, followed by appearance of viral components in body fluids, liver cell necrosis and associated changes in laboratory tests of liver function and clinical signs of liver damage. Hepatitis A is an RNA enterovirus. Hepatitis B virus (HBV) is a quite different virus which causes a wide variety of acute and chronic hepatic and extrahepatic diseases. HBV is a 42nm DNA virus with antigenically distinct surface and core components. About 90% of patients infected with HBV recover completely; of the remaining 10%, fewer than 1% develop massive hepatic necrosis, but a significant number may develop chronic hepatitis. Famciclovir has been shown to inhibit HBV replication and is being studied for treatment of chronic hepatitis B. Additional hepatitis viruses have been discovered, including hepatitis C, D and E.

There remains a need for additional anti-viral agents, which preferably have a mechanism of action that differs from currently known anti-viral

agents, and which ideally exhibit superior effects when combined with other antiviral agents.

SUMMARY OF THE INVENTION

The present invention provides novel anti-viral therapeutic uses for leflunomide products and is based on the discovery that leflunomide inhibits the replication of viruses. Leflunomide has been discovered to inhibit viral growth in a manner different from other conventional anti-viral agents, which typically act by inhibiting viral DNA replication in the early stages of infection. In contrast, leflunomide appears to act by inhibiting virion assembly. Thus, therapeutically effective anti-viral amounts of leflunomide product include amounts effective for inhibiting viral growth or inhibiting virion assembly. The unique mechanism of action of leflunomide products allows these drugs to be effective against viruses that are resistant to other conventional anti-viral agents, including multi-drug resistant viruses.

amounts of leflunomide products are administered to subjects, including mammals, suffering from viral infection, particularly infection by herpesvirus (including CMV, HSV-1, HSV-2, VZV, EBV, HHV-6, HHV-7 and HHV-8), paramyxoviruses (including parainfluenza, mumps, measles and respiratory syncytial virus), picornaviruses (including enteroviruses and rhinoviruses) and hepatitis viruses (including hepatitis A, B, C, D and E). The leflunomide product can be administered to humans in doses ranging from about 0.1 mg to 80 mg daily, varying in children and adults, or more preferably at doses ranging from about 15

According to one aspect of the invention, therapeutically effective

It is contemplated that leflunomide products may be concurrently administered with other known anti-viral agents, in which case the dosage of each agent required to exert a therapeutic effect during combinative therapy may be less than the dosage necessary for monotherapeutic effectiveness.

mg to 25 mg daily, or in equivalent doses at longer intervals.

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According to another aspect of the invention, a leflunomide product is co-administered with a pyrimidine, such as uridine, in order to reduce its toxicity while maintaining its therapeutic effectiveness. It is contemplated that co-administration with a pyrimidine may allow administration of an anti-viral therapeutically effective amount of leflunomide product with reduced immunosuppressive or toxic side effects.

Another aspect of the invention contemplates a method of inhibiting viral replication by contacting a cell that has been infected by a virus with an anti-viral amount of leflunomide product.

Veterinary anti-viral uses of leflunomide product are also contemplated.

Numerous additional aspects and advantages of the invention will become apparent to those skilled in the art upon consideration of the following detailed description of the invention which describes presently preferred embodiments thereof.

BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 displays plaque assay data demonstrating A771726-mediated attenuation of production of CMV strain P8 in human foreskin fibroblasts (HFF) and CMV strain VHL/E in human umbilical vein endothelial cells (HUVEC).

Figure 2 displays plaque assay data of A771726-treated, CMV-infected cells with and without exogenous uridine.

Figure 3 displays data from Northern blot analysis of mRNA extracted from A771726-treated, CMV-infected cells showing the extent of immediate early (IE1) or late glycoprotein (gB) transcription.

Figure 4 displays data from a biochemical assay of CMV DNA polymerase activity in CMV-infected cells, in the presence of various concentrations of A771726; Figure 5 displays the superimposition of plaque assay

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data and polymerase assay data and further shows the lack of effect of A771726 on CMV DNA polymerase activity even at concentrations that maximally inhibit CMV plaque formation.

Figure 6 displays plaque assay data demonstrating the equivalent sensitivity of drug-sensitive CMV strain P8 and multidrug-resistant CMV strain D16 to the anti-viral effect of A771726.

Figure 7 displays plaque assay data from tissues of one representative animal challenged with CMV and treated with leflunomide.

Figure 8 displays plaque assay data demonstrating activity of A771726 against HSV.

Figure 9 displays data from a biochemical assay of HSV DNA polymerase activity in HSV-infected cells

DETAILED DESCRIPTION

The present invention provides novel anti-viral therapeutic uses for leflunomide products and is based on the discovery described herein that *in vitro*, leflunomide's active metabolite N-(4-trifluoromethylphenyl)-2-cyano-3-hydroxycrotonamide (A771726) inhibits the replication of a variety of viruses, including CMV, HSV, measles virus, rhinovirus, and hepatitis B and C viruses, and that A771726 has been confirmed to inhibit viral replication *in vivo*. Unlike conventional anti-viral agents, this leflunomide product acts at a late stage in virion maturation and assembly by preventing tegument acquisition by viral nucleocapsids. The resulting incomplete virions are not active and therefore cannot infect other cells. Although knowledge of the structural organization of the herpes virus tegument is incomplete, many of the major tegument proteins are phosphoproteins for which kinase-mediated phosphorylation is required. Thus, leflunomide product may interfere with tegument assembly by inhibiting protein phosphorylation through its inhibition of tyrosine kinase activity.

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The unique mechanism of action of leflunomide products allows these drugs to be effective against viruses that are resistant to other conventional anti-viral agents. A multi-drug resistant strain of CMV is shown herein to be as equally sensitive to leflunomide product as drug-sensitive strains of CMV.

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According to one aspect of the invention, therapeutically effective amounts of a leflunomide product are administered to subjects, including mammals, suffering from viral infection. The invention contemplates treatment of infection by any virus, including herpesviruses (including CMV, HSV-1, HSV-2, VZV, EBV, HHV-6, HHV-7 and HHV-8), paramyxoviruses (including parainfluenza, mumps, measles, and respiratory syncytial virus (RSV)), picornaviruses (including enteroviruses and rhinoviruses), togaviruses, coronaviruses, arenaviruses, bunyaviruses, rhabdoviruses, orthomyxoviruses (including influenza A, B and C viruses), reoviruses (including reoviruses, rotaviruses and orbiviruses), parvoviruses, adenoviruses, hepatitis viruses (including A, B, C, D and E) and retroviruses (including HTLV and HIV). Treatment of both acute and chronic infection are contemplated.

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Anti-viral therapeutically effective amounts of leflunomide product include amounts effective for inhibiting viral growth, *i.e.*, replication, or inhibiting virion assembly. The leflunomide product can be administered to humans at doses ranging from about 0.1 to 80 mg daily, varying in children and adults, or more preferably at doses ranging from about 15 to 25 mg daily for adults. Most preferable are doses calculated to provide a circulating blood level of about 40 to 60 µM. Equivalent dosing of leflunomide product can be administered at longer intervals, *e.g.*, larger doses once or twice weekly, due to the longer half-life of 2-5 days of leflunomide product in humans. The therapeutically effective dose may be adjusted to provide maximum reduction in viral replication or infection without resulting in excessive toxicity. The dose of leflunomide product required to produce anti-viral effects is also expected to be lower than the dose required to provide immunosuppressive effects. In animal studies, extremely high doses of

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leflunomide result in liver and cardiac toxicity. In humans, a side effect of accumulation of the metabolite trifluoromethyl aniline is a Heinz-body hemolytic anemia; the appearance of anemia in a patient thus may be an early, sensitive sign of adverse side effects due to leflunomide product administration and an index of adequacy of drug dosing.

Leflunomide product may be administered systemically via, e.g., oral, intravenous, intramuscular or subcutaneous routes. The drug may be aerosolized for pulmonary administration, formulated in a spray for nasal administration, administered intraventricularly or intrathecally into the cerebrospinal fluid, or administered intravenously via continuous infusion pump. The drugs may also be administered topically via, e.g., drops (particularly ophthalmic drops), ointment, patch or per rectum via e.g., suppositories or enemas. For the combination treatments, leflunomide product and another anti-viral agent can be administered simultaneously or sequentially.

This aspect of the invention therefore contemplates use of a leflunomide product in the preparation of a medicament for treating viral infection.

The invention may also be practiced by administering leflunomide product in combination with therapeutically effective amounts of one or more non-leflunomide anti-viral agents, including acyclovir, ganciclovir, vidarabidine, foscarnet, cidofovir, amantidine, ribavirin, trifluorothymidine, interferon-alpha, zidovudine, didanosine, or zalcitabine. The therapeutically effective doses of all of these drugs, including leflunomide, in the combinative therapy will be lower than the usual doses of each drug used for single drug monotherapy.

Viral infection, particularly herpes virus infection, is associated with an increased incidence of cancers. It is expected that treatment with leflunomide product may prevent or reduce the growth of these cancers. For example, EBV is associated with African Burkitt's lymphoma, anaplastic nasopharyngeal carcinoma, lymphocytic lymphoma and B cell malignancies, while HHV-8 is associated with Kaposi's sarcoma.

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Another aspect of the invention contemplates a method of inhibiting viral replication by contacting a cell that has been infected by a virus with an antiviral amount of leflunomide product. The invention contemplates inhibiting replication of any virus, including herpesviruses (including CMV, HSV-1, HSV-2, VZV, EBV, HHV-6, HHV-7 and HHV-8), paramyxoviruses (including parainfluenza, mumps, measles, and respiratory syncytial virus (RSV)), picornaviruses (including enteroviruses and rhinoviruses), togaviruses, coronaviruses, arenaviruses, bunyaviruses, rhabdoviruses, orthomyxoviruses (including influenza A, B and C viruses), reoviruses (including reoviruses, rotaviruses and orbiviruses), parvoviruses, adenoviruses, hepatitis viruses (including A, B, C, D and E) and retroviruses (including HTLV and HIV).

The term "leflunomide product" as used herein means leflunomide, N-(4-trifluoromethylphenyl)-5-methylisoxazol-4-carboxamide (HWA-486), or its active metabolite, N-(4-trifluoromethylphenyl)-2-cyano-3-hydroxycrotonamide (A771726), or other related derivatives (such as those described in U.S. Patent Nos. 4,087,535 and 5,519,042 (formulas I and II), both of which are incorporated herein by reference) or metabolites thereof that retain all or part of the anti-viral activity of leflunomide, including products represented by the following chemical formula:

CONH
$$R_{3}$$

$$R_{1}$$

$$R_{2}$$

wherein (A) R1 and R2 are each hydrogen and R3 is halogen, -CF₃, alkoxy having 1 or 2 carbon atoms, or halo-substituted alkoxy having 1 or 2 carbon atoms;

(B) R1 is hydrogen and R2 and R3, which are the same or different, are halogen or -CF₃;

(C) R1 is hydrogen, R2 is alkyl having 1 or 2 carbon atoms, and R3 is halogen; or

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(D) R1 is hydrogen and R2 and R3 are 3',4'-methylene dioxy;

and also including amides of malononitriles represented by the following formula:

wherein R4 is H or R_7 ; R5 is H or COR_7 ; R6 is trifluoromethylphenyl or another substituted phenyl as described immediately above; and R7 is an alkyl group having 1, 2, 3 or 4 carbon atoms.

Such products can be prepared by methods known in the art, including those described in U.S. Patents 4,087,535, 4,351,841 and 4,965,276, all of which are incorporated herein by reference.

A treatment capable of inhibiting viral growth, or replication, is a treatment that arrests or inhibits the maturation of the complete virion and consequently arrests or reduces the spread of infection to other host cells.

The methods of the present invention can be used to treat patients at risk of viral infection or patients already infected with virus. Thus, "treatment" as used herein means both prophylactic and therapeutic treatment. It is contemplated that leflunomide product is particularly useful in treating patients receiving organ transplants, including skin, heart, lung, kidney, liver, pancreatic islet cells, bone marrow transplants, other allografts, combination allografts such as heart-lung, and xenografts. These patients have a high risk of developing serious viral infections, particularly CMV infections, post-transplant. CMV infection is a particularly serious disease in bone marrow transplant recipients. Because of its low toxicity and dual functionality as a graft-preserving immunosuppressant and an anti-viral agent effective even against drug-resistant viruses, leflunomide product is advantageously administered to these patients in place of or as a part of an ongoing immunosuppressive regimen.

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According to another aspect of the invention, a leflunomide product is co-administered with a pyrimidine, such as uridine, in order to reduce its potential toxicity while maintaining its therapeutic effectiveness. It is contemplated that co-administration with a pyrimidine may allow administration of an anti-viral therapeutically effective amount of leflunomide product with reduced immunosuppressive or toxic side effects. Anti-viral amounts of leflunomide product may be administered to healthy immunocompetent individuals, neonates or immunocompromised patients, including patients suffering from AIDS, or patients with cancer or undergoing chemotherapy, or patients with other defects in their immune system, without causing significant further depression of their immune system.

As used herein, a "pyrimidine" includes compounds useful either directly or as intermediates in pathways for supplying pyrimidine nucleotides (uridine, cytidine and thymidine). A preferred pyrimidine is uridine. Other suitable pyrimidines include the pyrimidine intermediates orotic acid and orotidine. Other exemplary pyrimidines include cytidine and thymidine, possibly at higher doses.

Pyrimidine compositions are generally administered in doses ranging from 1 mg/kg to 5000 mg/kg per day, preferably in doses ranging from 50 mg/kg to 200 mg/kg per day given orally, or in equivalent dosing at longer or shorter intervals. Pyrimidines are generally not well absorbed orally, so other systemic routes of administration such as intravenous administration may be preferable to maintain appropriate serum levels of uridine. Humans with orotic aciduria are generally given uridine supplementation at doses of 150 mg/kg.

The dosage of leflunomide product and/or pyrimidine may be increased or decreased, and the duration of treatment may be shortened or lengthened as determined by the treating physician. The frequency of dosing will depend on the pharmacokinetic parameters of the agents and the route of administration. The optimal pharmaceutical formulation will be determined by one skilled in the art depending upon the route of administration and desired dosage.

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See for example, Remington's Pharmaceutical Sciences, 18th Ed. (1990, Mack Publishing Co., Easton, PA 18042) pages 1435-1712, the disclosure of which is hereby incorporated by reference. Such formulations may influence the physical state, stability, rate of *in vivo* release, and rate of *in vivo* clearance of the administered agents.

Those of ordinary skill in the art will readily optimize effective dosages and concurrent administration regimens as determined by good medical practice and the clinical condition of the individual patient. Regardless of the manner of administration, the specific dose may be calculated according to body weight, body surface area or organ size. Further refinement of the calculations necessary to determine the appropriate dosage for treatment involving each of the above mentioned formulations is routinely made by those of ordinary skill in the art without undue experimentation, especially in light of the dosage information and assays disclosed herein, as well as the pharmacokinetic data observed in the human clinical trials discussed above. Appropriate dosages may be ascertained through use of established assays for determining blood levels dosages in conjunction with appropriate dose-response data. The final dosage regimen will be determined by the attending physician, considering various factors which modify the action of drugs, e.g. the drug's specific activity, the severity of the damage and the responsiveness of the patient, the age, condition, body weight, sex and diet of the patient, the severity of any infection, time of administration and other clinical factors. As studies are conducted, further information will emerge regarding the appropriate dosage levels for the treatment of various diseases and conditions.

Yet a further aspect of the invention provides a method of screening for a leflunomide product or derivative thereof having anti-viral activity comprising the steps of (a) growing a virus in cell culture, (b) contacting the virus-infected cells with a test amount of leflunomide product or derivative thereof, and (c) determining the effect of the test amount on viral growth. Any virus can be used to test for activity, including HSV, CMV, measles virus, rhinovirus, and hepatitis B or

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C viruses. Also contemplated are novel leflunomide products or derivatives thereof identified by such a screening method.

The present invention thus makes possible the identification of leflunomide products, including derivatives and related compounds, that retain the same anti-viral activity of leflunomide and A771726 or possess even greater anti-viral efficacy. When such products are additionally screened for immunosuppressive activity, the identification of products with good anti-viral activity and reduced immunosuppressive activity is possible. Ideally, a compound with primarily or exclusively anti-viral activity (very little or substantially reduced immunosuppressive activity) is used for treatment of subjects, particularly those with impaired immune systems (such as AIDS patients, cancer patients undergoing chemotherapy or radiation therapy and neonates).

Other aspects and advantages of the present invention will be understood upon consideration of the following illustrative examples. Example 1 addresses the *in vitro* effect of A771726 on CMV and HSV replication. Example 2 addresses the *in vitro* effect of A771726 with uridine on CMV replication. Examples 3 and 4 address the effect of A771726 on CMV DNA replication. Example 5 addresses the effect of A771726 on CMV virion assembly. Example 6 addresses the effect of A771726 on a multi-drug resistant CMV strain. Example 7 addresses the anti-viral effect of leflunomide product *in vivo*. Example 8 addresses the effect of A771726 on HSV. Examples 9 and 10 address the effect of several leflunomide products on a variety of viruses.

Example 1

Plaque assay of leflunomide product effect on infectious virus production

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To determine the impact of leflunomide upon the production of infectious CMV in fibroblasts, standard plaque assays were performed in the presence of A771726, the active metabolite of leflunomide, at a range of

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concentrations equivalent to those which have been shown to attenuate immune activation by various stimuli *in vitro*.

Human foreskin fibroblasts (HFF) were inoculated with the clinical CMV isolate P8, overlaid with medium supplemented with 0.3% agarose and 0-100 μM A771726, then incubated for 10-14 days before plaque ennumeration under low-power microscopy. The experiments were carried out as follows. Human foreskin fibroblasts (HFF, Viromed, Minneapolis MN) were grown in Eagles MEM (GivcoBRL, Grand Island NY) supplemented with 10% fetal bovine serum (FBS). MRC 5 human fibroblast cells (American Type Culture Collection, Rockville MD) were propagated in MEM (Gibco) supplemented with 10% fetal bovine serum (Hyclone, Logan UT), 1% essential amino acids, 2% non-essential amino acids, and 0.5% vitamins (Sigma, St. Louis, MO). CMV strains P8 (ganciclovir-sensitive) and D16 (ganciclovir-resistant) were obtained from bronchial brushing of a cardiac allograft recipient and propagated in HFF cells.

To quantitate CMV production in fibroblasts, HFF in 24-well culture plates were inoculated with strain P8 or strain D16 at titers sufficient to generate 30-60 plaques/well. Inocula were absorbed for 3-4 hours, removed, and monolayers were overlaid with medium supplemented with 0.3% agarose and various concentrations of A771726 (4 wells/concentration). Cultures were incubated 10-14 days and plaques counted by low power phase contrast microscopy.

The effect of A771726 upon CMV activity in human umbilical vein endothelial cells (HUVEC) was also investigated, as was the drug's effect upon HSV activity. Since HUVEC do not tolerate agarose-supplemented medium well, a two step procedure was necessary. HUVEC were inoculated with CMV strain VHL/E or strain BUR/E (separate clinical isolates whose natural endothelial cytopathogenicity has been preserved by propagation in HUVEC) and incubated for 7-10 days in the presence of various concentrations of A771726 (0-200 μ M).

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Cells were then harvested and disrupted by sonication. Infectious activity within the resultant lysates was quantitated by plaque assay on MRC 5 fibroblasts.

The experiments were carried out as follows. Human umbilical vein endothelial cells (HUVEC) were isolated from cord vessels as previously described and propagated in endothelial cell growth medium (ECGM), consisting of M-199 (Gibco) supplemented with 20% FBS (Hyclone), 50 µg/mL bovine brain extract [43], 12 U/mL sodium heparin (Sigma), and 20 mM HEPES buffer. All growth surfaces for HUVEC were pretreated with Human fibronectin (Upstate Biotechnology, Lake Placid NY), 25 µg/mL. Purity of all endothelial isolates was verified at passage 3 by immunoperoxidase straining for von Willebrand Factor, and absence of contaminating leukocytes was verified by uniform negativity for leukocyte common antigen (CD45), B cell antigen (CD20), and monocyte-specific antigen (CD11c). CMV strain VHL/E, isolated from duodenal biopsy material from a bone marrow transplant recipient, and strain BUR/E, isolated from urine of a renal transplant patient, were propaged in HUVEC to preserve their natural endothelial cytopathogenicity.

HUVEC monolayers in 24-well culture plates were pre-treated in triplicate for 1 hour with ECGM supplemented with various concentrations of A771726, tacrolimus (FK506, Fujisawa, Deerfield IL), cyclosporine A (CsA, Sandoz, East Hanover, NJ), phosphonoformate (PFA [Foscarnet], Sigma), or ganciclovir (Cytovene, Syntex, Morris Plains, NJ). Following pre-treatment, monolayers were washed with phosphate buffered saline (PBS), inoculated with CMV strain VHL/E or BUR/E (0.2-2 plaque-forming unit [PFU]/ml), and centrifuged for 30 minutes at 300 x g. Following centrifugation, inocula were removed and monolayers were washed twice with PBS before addition of fresh ECGM supplemented with the agents listed above. Cells were cultured in the continuous presence of these agents with medium changes at 48-hour intervals. Following 7-10 days of incubation, cells were washed twice with PBS, harvested by brief trypsin digestion (0.005%

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trypsin/0.01% EDTA), disrupted by sonication, and inoculated in serial dilution onto confluent MRC5 fibroblast monolayers for plaque assay as detailed elsewhere. Data were plotted as means of triplicate or quadruplicate wells +/-1 standard deviation, normalized to untreated CMV-infected controls.

Data presented in Figure 1, representative of 3-4 replicate experiments, demonstrate an A771726-mediated dose-dependent reduction in infectious virus production in both fibroblasts (strain P8 in HFF) and endothelial cells (strain VHL/E in HUVEC). Data points represent mean plaque numbers generated within 3-4 replicate culture wells, expressed as percent of those generated in untreated CMV-infected controls. Importantly, the dose response curves do not vary significantly between the two viral strains in their respective host cell types, and indicate a common ID_{50} of 40-60 μ M.

To determine whether leflunomide's anti-viral properties were shared by other commonly prescribed immunosuppressive agents, similar assays were performed in the presence of cyclosporine A (CsA) or tacrolimus (FK 506) at concentrations which have been shown to attenuate immune activation by various stimuli *in vitro*. Thus HUVEC were inoculated with either CMV strain VHL/E or BUR/E and incubated in the presence of A771726 (200 μM), CsA (100 ng/ml), or FK 506 (1 ng/ml). As positive controls for viral inhibition, each experiment included cells treated with 1 mM phosphonoformate (PFA, Foscarnet) or 10 μg/ml ganciclovir (GCV). These data again demonstrated dramatic and statistically significant A771726-mediated attenuation of CMV production (p<0.001) with no significant inhibition by FK 506. Curiously, CsA was consistently observed to significantly enhance production of infectious virus as compared to activity within untreated infected cells.

In preliminary experiments, a similar assay using HSV instead of CMV showed that A771726 produced a definite observed reduction in plaque production.

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Example 2

Anti-viral effect of leflunomide product in the presence of exogenous uridine

To determine whether reduction of intracellular pyrimidine nucleotide pools was responsible for the antiviral effects of leflunomide product, HUVEC were inoculated with CMV VHL/E as described above, then incubated for 4 days in the presence or absence of 200 μ M A771726, 200 μ M exogenous uridine, or both. Uninfected HUVEC were included in each experiment as negative controls. Cells were harvested and small aliquots of each group were sonicated and assayed for plaque formation on MRC 5 monolayers as described above. The remainder of cells were extracted in trichloroacetic acid, then in tri-noctylamine and 1,1,2-trichloro-trifluoro-ethane. Intracellular pyrimidine nucleotide (pyNTP) levels were quantitated by HPLC.

Confluent HUVEC monolayers in 6-well culture plates were pretreated for 1 hour fresh ECGM alone or with ECGM supplemented with 20 μ M A771726, 200 µM uridine, or both (6 wells/treatment). Following pre-treatment, monolayers were inoculated with CMV strain VHL/E (1.0 PFU/cell), then incubated in the continued presence of agents for 4 days with addition of fresh medium at 48 hours. Cells were then harvested by brief trypsin digestion, washed twice in PBS, extracted on ice in 0.4 M trichloroacetic acid, then extracted with tri-n-octylamine and 1,1,2-trichloro-trifluoro-ethane according to methods described by Khym. Intracellular nucleotide triphosphate quantitation was performed by HPLC (Waters Associates, Milford, MA) employing a 616 pump, a 600 S gradient controller, a 717 plus autosampler, and a 996 PDA detector, using a strong anion exchange column of Partisil-10 SAX (Alltech Assoc. Inc., Deerfield, IL) eluted with a gradient of 10 to 500 mM potassium phosphate (pH 4.5). The four nucleotide triphosphate peaks were quantitated by integration and comparison to standardization samples. To correlate intracellular nucleotide concentrations with viral activity, samples of cells from each treatment group (reserved prior to

extraction) were disrupted by sonication and assayed for plaque formation on MRC monolayers as described above.

Intracellular pyNTP levels were reduced in untreated CMV-infected HUVEC as compared to uninfected control cells, but were not further reduced in infected cells treated with A771726. The reason for this may be that the HUVEC in the assay were in a stationary, quiescent phase in which minimal intracellular NTP consumption would be expected. The addition of exogenous uridine increased pyNTP levels both in the presence and absence of A771726.

More importantly, the addition of exogenous uridine did not significantly reconstitute infectious virus production in A771726-treated, CMV-infected EC (results shown in Figure 3B). Thus, A771726-mediated inhibition of CMV activity appears to be independent of the inhibitory effects of this agent upon pyNTP synthesis.

Example 3A Effect of leflunomide product on viral protein expression

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Experiments were conducted to determine at which point in the viral replication cycle leflunomide exerts its inhibitory effects. Immediately upon penetration of the host cell, CMV lower matrix protein pp65, a component of the viral tegument, translocates to the cell nucleus. Although the nuclear function of pp65 remains to be resolved, another tegument protein (pp71) that migrates in a similar manner acts in concert with cellular proteins to promote rapid transcription of immediate early (IE) viral genes. IE gene products are primarily regulatory, activating early gene transcription, whose products are essential for viral DNA replication. Activation of late genes, which code primarily for structural protein components of the virion, occurs late in the replicative cycle and is dependent upon viral DNA replication.

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To localize potential A771726-induced lesions in this temporal series of events, a cross section of CMV proteins in infected cells was first visualized by immunohistochemical staining as follows. CMV strain VHL/Einoculated HUVEC monolayers incubated in the presence or absence of 200 μ M A771726 or 1 mM phosphonoformate (PFA) (as a positive control for late antigen inhibition) were fixed at various intervals and stained with mAb specific for pp65, 72 kD IE1, or late structural glycoprotein B (gB). Confluent HUVEC monolayers in 8-well chamber slides (Nalge Nunc International, Naperville, IL) were pretreated for 1 hour with fresh ECGM alone or with ECGM supplemented with 200 μ M A771726, or 1.0 mM PFA, then inoculated with CMV strain VHL/E (2 PFU/cell) as described above. Slides were incubated in the presence of absence of the agents for various intervals, acetone-fixed, and stained by the immunoperoxidase method. Primary antibodies were specific for 72 kDa CMV immediate early (IE) antigen (DuPont, Wilmington DE), CMV lower matrix protein, pp65 (ViroStat, Portland ME), CMV late structural glycoprotein B (gB, mAb 7-17, generously provided by Dr. William J. Britt, University of Birmingham AL), or irrelevant isotype-matched antibodies as specificity controls.

None of these viral proteins were prevented from being expressed in A771726-treated cells. At 24 hours post-inoculation (pi), nuclear accumulation of pp65 (red stain) can be seen in both untreated and A771726-treated monolayers, implying that this agent interferes with neither viral entry, nor with nuclear translocation of pp65. IE1 expression also appeared to be unaffected by A771726 (IE, 48 hr pi). Finally, typical gB staining patterns were apparent at 96 hours pi in both treated and untreated cultures. In contrast, infected cells treated with PFA, an inhibitor of CMV DNA polymerase, were unable to express gB, while pp65 and IE1 expression in these cultures was unimpeded.

The data revealed an increase in the number of infected cells in the untreated monolayers, but not in those incubated in the presence of A771726. In untreated cells, CMV strain VHL/E, in addition to retaining its natural endothelial

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cytopathogenicity, retained the natural tendency of CMV to remain tightly cell associated, disseminating slowly through inoculated monolayers by direct cell-to-cell transmission. It was also observed that while in untreated monolayers virus spreads to adjacent cells forming multicellular infected foci, treatment with A771726 appears to largely restrict viral activity to the individual cells infected by the primary inoculum.

Example 3B

Effect of leflunomide product on viral gene expression

Northern blot analysis was employed to determine whether
A771726 quantitatively affected transcription of viral immediate early (IE1) or late
glycoprotein (gB) mRNA.

HUVEC monolayers in 6-well culture plates were inoculated with CMV strain VHL/E (0.5 PFU/cell) in the presence or absence of 200 μ M A771726 and/or 200 µM uridine (6 wells/treatment) as described above, and incubated for 48 hours. Each experiment also included infected cultures treated with 1 mM PFA and 1.2 mM ganciclovir (positive control for inhibition of gB expression), as well as uninfected controls. Total cytoplasmic RNA was isolated from each culture, by 18-hour ultracentrifugation of guanidine isothiocyanate/BME extracts overlayed on cesium chloride cushions. 10 μ g of total RNA from each sample was separated on a 1.4% agarose/0.22 M formaldehyde gel then transferred to a nylon membrane (Hybond-N, Amersham Corp., Arlington Heights, IL) and probed with labeled probes specific for IE1, gB and GAPDH (a loading control). cDNA probes for CMV IE1 and CMV gB were radiolabelled by PCR as follows. 50 ng of fulllength IE1 and gB were incubated in a 50 μ 1 PCR reaction including a nucleotide triphosphate mix containing 700 nM α-[³²P]dCTP (Amersham) and either IE1 primers [IE1 sense: 5' GAG GCT ATT GTA GCC TAC ACT TTG G 3' (SEQ ID NO: 1) and IE1 antisense: 5' CAG CAC CAT CCT CCT CTT CCT CTG G 3' (SEQ ID NO: 2)] or gB primers [gB sense: 5 CAC CAA GTA CCC CTA TCG

CGT 3' (SEQ ID NO: 3) and gB antisense: 5' TTG TAC GAG TTG AAT TCG CGC 3' (SEQ ID NO: 4)]. A cDNA probe for GAPDH was radiolabelled using a random priming kit (Decaprime II, Ambion, Inc., Austin TX) as previously described. Unincorporated isotope was removed from labeled probes using a G-50 spin column (Worthington Biochemicals, Freehold, NJ). Membranes were hybridized, washed, and bound probe was detected by autoradiography as previously described. Membranes were stripped and reprobed for GAPDH, and scanning densitometry was used to quantitate IE1 and gB band densities, and to normalize these values to those of GAPDH.

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Results representative of 2 replicate experiments are presented in Figure 5. IE1 and gB band densities (as determined by scanning densitometry) are plotted as ratios to those of GAPDH. As demonstrated in this figure, while gB mRNA expression was completely suppressed in cells treated with PFA/GCV, A771726 exerts no inhibitory effect upon transcription of either IE1 or gB genes.

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Example 4

Effect of leflunomide product on CMV DNA polymerase activity

A. Effect of leflunomide product on CMV DNA synthesis

Since expression of late structural proteins (such as gB) is dependent upon CMV DNA replication, the result of Example 3 indicated that, in contrast to currently used anti-viral therapeutics, leflunomide does not inhibit viral DNA synthesis. To confirm this, viral DNA was quantitated by dot blot following incubation of CMV-infected cells in the presence or absence of A771726. HUVEC or HFF monolayers in 24-well culture plates were inoculated with CMV VHL/E (1 PFU/cell) or CMV P8 (0.3 PFU/cell) respectively, and incubated in the presence or absence of 200 μ M (HUVEC) or 100 μ M (HFF) A771726. All experiments included infected cultures incubated in the presence of 1 mM PFA as well as uninfected controls. 48 hours post-inoculation cells were harvested by trypsin

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digestion , washed in PBS, and incubated for 12 hours at 50°C in 0.1 mg/ml proteinase K (Sigma) in digestion buffer (100 mM NaC1, 10 mM Tris-HC1, 25 mM EDTA, 0.5% SDS). DNA was extracted in phenol/chloroform, precipitated with ammonium acetate/ethanol, and resuspended in TE buffer. Following equalization of concentrations, extracted DNA was serially diluted in 96-well microtiter plates and 0.4 M NaOH/10 mM EDTA was added to each well. DNA was denatured in sealed plates by heating for 10 minutes in a 100°C waterbath, transferred to nylon membranes (Hybond N, Amersham) using a vacuum dotblot manifold (Bio-Rad Laboratories, Hercules, CA), and immobilized by UV crosslinking. The cDNA probe was generated by *Hind*III digest of cosmid pCM 1015 (Fleckenstein et al., *Gene*, 18:39, 1982) which contains ~45 kb of the U_L region of the CMV AD169 genome. The probe was α-[³²P]dCTP-labelled by random priming. Unincorporated isotope was removed from labelled probe using a G-50 spin column (Worthington Biochemicals). Membranes were hybridized, washed, and bound probe was detected by autoradiography.

The quantities of viral DNA synthesized in CMV-infected HFF incubated in the presence of A771726 were approximately equivalent to that accumulated in untreated infected cells. In contrast, PFA-treated cells contained no detectable CMV DNA. Identical results were observed in similarly treated HUVEC. The specificity of the probe was verified by the absence of hybridization to DNA extracted from uninfected cells.

B. Effect of leflunomide product on CMV DNA polymerase activity

To directly test the effect of leflunomide on viral DNA polymerase, specific enzyme activity was quantitated by biochemical assay. Crude protein extracts prepared from CMV-infected HUVEC or HFF, or from uninfected control cells, were reacted with activated (partially single-stranded) template DNA, dATP, dGTP, dCTP, and [³H]TTP in high salt buffer (inhibitory for mammalian but not CMV DNA polymerase) in the presence or absence of various concentrations of

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A771726 or PFA. Radiolabel incorporation into template DNA was measured by β -scintillation counting, and these values were used to determine specific enzyme activity as a function of reaction time and total protein concentration of extracts.

HUVEC were inoculated with CMV strain VHL/E or BUR/E, and HFF were inoculated with CMV strain P8. Cultures were incubated until ~ 100% infection was achieved as determined by cytopathic change, harvested by brief trypsin digestion, washed twice in PBS, and pelleted by centrifugation. The CMVspecific DNA polymerase assay protocol was based on previously described methods. Cell pellets (\sim 2 x 10^6 cells) were suspended in 0.5 ml extraction buffer (50 mM Tris-HC1 [pH 8.0], 3 mM dithiothreitol, 200 mM KCL, 2 mM ATP, 2 mM MgC1₂, 0.2 mM PMSF, and 10% (v/v) glycerol), and disrupted by sonication. The resulting homogenates were clarified by centrifugation. Each DNA polymerase reaction mixture contained in a total volume of 100 μ 1: 50 mM Tris-HC1 (pH 8.0), 150 mM KC1, 4 mM MgC1₂, 8 ug bovine serum albumin, 1 mM dithiothreitol, 2.25% (v/v) glycerol, 100 uM each of dATP, dCTP, dGTP, 1.712 uM [3H]dTTP, 14.6 ug of activated calf thymus DNA, 10 ul of CMV-infected or uninfected cell lysate, and various concentrations of A771726 or phosphoformate (PFA) (3 replicate reactions/concentration). Each experiment also included time, controls and measurement of total available radiolabel. Assays were incubated for 1 hour at 37°C in round-bottom microtiter plates, then harvested onto 96-well Unifilter-GF/C filter plates (Packard, Meriden CT) and immediately terminated by three washes with cold 5% TCA/1% sodium pyrophosphate. Wells used to measure total available label were not washed. Filter plates were dried following a final ethanol wash, supplied with 25 μ l/well Microscint 20 scintillation cocktail (Packard), and counted on a microplate scintillation counter (Top-Count, Packard). Polymerase specific activity was expressed as radiolabel incorporation rate per mg of cell lysate total protein (assayed by BioRad method).

While uninfected cell extracts inhibited no detectable enzyme activity, extracts derived from CMV-infected cells assayed in the absence of

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A771726 or PFA exhibited specific viral DNA polymerase activities in the range of 276-379 nM incorporation/hr/mg protein. Representative results of experiments performed with extracts of VHL/E-infected HUVEC or P8-infected HFF (2-4 replicate experiments per virus/cell combination) are presented in Figure 6. Data shown in Figure 6A, (specific enzyme activity expressed as percent of PFA/A771726-free controls), demonstrate that, while PFA reduced viral DNA polymerase activity in a concentration-dependent manner (with complete inhibition at 1 mM). A771726 showed no detectable inhibitory activity even at concentrations which dramatically reduced plaque formation (Figure 6B). Experiments performed with extracts prepared from CMV strain BUR/E-infected HUVEC generated essential identical results.

Example 5

Effect of leflunomide product on virion assembly

* Transmission electron microscopy was employed to directly examine virion morphology within A771726-treated or untreated CMV-infected HUVEC. HUVEC monolayers in 6-well culture plates were inoculated with CMV strain VHL/E (1.0 PFU/cell) in the presence or absence of 200 μ M A771726 as described above, and incubated for 4-7 days. Cells were harvested by brief trypsin digestion, washed twice in PBS, then fixed by the addition of 1 ml 2% phosphate buffered gluteraldehyde and again pelleted by centrifugation. Specimens were post-fixed in 1% phosphate buffered osmium tetroxide (1 hour), then dehydrated in graded ethanol washes. Specimens were embedded in Spur low viscosity embedding media (SEM). Blocks were cured for a minimum of 12 hours at 70 °C. Thin sections (approximately 100 nm) were cut from cured blocks using an ultramicrotome (LKB Nova), and mounted on 2-mm 200 mesh copper grids. Grids were heavy metal-stained using a standard two-step uranyl acetate/lead citrate technique, then examined and photographed at 80 kV with a Zeiss EM900

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transmission electron microscope by an individual with no knowledge of the nature of the investigation.

Typical naked herpes-type viral capsids measuring approximately 100 nM in diameter are visible within the nuclei of infected cells, with no apparent differences in capsid quantity or morphology between A771726-treated and untreated cells. This implies that neither nucleocapsid assembly nor viral DNA packaging are impeded by leflunomide. However profound differences emerged within the cytoplasm of these cells. While tegument and external membrane were acquired normally in untreated cells resulting in the appearance of complete virions measuring just over 200 nM, viral particles appeared not to have matured far beyond the 100 nM naked capsid stage in the presence of A771726. In addition, dense bodies, amorphous cytoplasmic viral protein accumulations consisting primarily of pp65, clearly appear membrane-bound in untreated cells, but not in A771726-treated cells. The significance of this latter observation is not clear, but may represent an additional A771726-mediated defect in organization of virion components.

Example 6

Effectiveness of leflunomide product against drug-resistant CMV

All current anti-CMV chemotherapies focus upon inhibition of viral DNA replication, although specific mechanisms vary slightly among different agents. Clinical strains have emerged which exhibit cross-resistance to multiple drugs. The effect of leflunomide product on activity of drug-resistant virus was evaluated as follows. CMV strain D16 was isolated from the same patient as strain P8. However, unlike P8, D16 exhibits multi-drug resistance. Plaque reduction assays performed in HFF cultures as described above (results shown in Figure 8) revealed equivalent sensitivity of these two isolates to A771726 ($\rm ID_{50}$ ~40-60 $\mu \rm M$). Thus leflunomide-mediated inhibition of viral activity occurs independent of resistance to current clinical chemotherapeutic agents.

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Example 7

Anti-viral activity of leflunomide in vivo

To determine the effectiveness of leflunomide in the control of viral load in vivo, immunodeficient nude rats were inoculated intraperitoneally with rat CMV (RCMV RA67, Maastricht strain; Bruggeman et al., Arch. Virol., 76:189, 1983) at a challenge dose of 10⁵ PFU/animal, and treated with leflunomide (15 mg/kg/day for 14 days), ganciclovir (10 mg/kg/day for 5 days), or an equal volume of vehicle (1% methylcellulose) (3 animals/group). The experiment also included uninfected negative controls. At 14 days post-inoculation, rats were euthanized and salivary glands, lungs, and spleens were harvested for assay. Portions of tissues were sectioned and stained for histologic examination. The remainder of each was homogenized and assayed for virus yield by plaque assay on rat embryo fibroblast monolayers. Successful infection of the animals was demonstrated by the presence of classic cytomegalic glandular epithelial cells, by immunohistochemical verification of the intracellular expression of RCMV proteins, and by the recovery of infectious virus in plaque assays. Furthermore, leflunomide treatment indeed reduced the accumulation of RCMV in all three tissues. Data generated by plaque assay of tissue homogenates prepared from one representative animal of each treatment group are shown in Figure 7, normalized to RCMV-infected, non-treated control. Although absolute PFU values derived from infected, untreated animals varied considerably between salivary gland (1.0 x 10⁶ PFU/gm) and lung and spleen (3.4 x 10³ PFU/gm, 1.5 x 10³ PFU/gm, respectively), in all cases leflunomide significantly reduced viral load in inoculated animals.

Leflunomide showed a significant *in vivo* anti-viral effect in this initial experiment despite the fact that the dosing schedule was clearly sub-optimal. Following administration at 15 mg/kg, serum concentration of A771726 peaks at \sim 280 μ M, dropping to sub-therapeutic levels by 6 hours, and ultimately to a 24-hour trough of <10 μ M. Data from *in vitro* studies has shown that 10 μ M has

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little if any antiviral effect (see Figure 1). Thus, further experiments using a more frequent dosing regimen are expected to show an even better anti-viral effect.

Example 8

Anti-viral activity of leflunomide product against HSV

5 A. Effect on production of infectious virus

To determine the impact of leflunomide product upon production of infectious HSV, plaque assays (as described in Example 1) were performed with HSV strain KOS, as well as with 4 individual fresh clinical HSV isolates, in both VERO cells and HUVEC, substituting acyclovir (ACV) for ganciclovir as the positive control for viral inhibition. Data generated by assay of 2 isolates (REC, SCH) in VERO cells presented in Figure 8 demonstrate that the activity of A771726 against HSV in similar to that observed against CMV. Studies of all HSV isolates generated similar results in both VERO cells and HUVEC.

B. Effect of leflunomide product on HSV DNA polymerase activity

To directly test the effect of leflunomide on HSV DNA polymerase, specific enzyme activity was quantitated by biochemical assay as described in Example 4B. Results representative of studies of all HSV isolates in both VERO cells and HUVEC are presented in Figure 9. As was observed in CMV experiments (Example 4B), A771726 had no detectable inhibitory effect upon HSV DNA polymerase activity.

C. Effect of leflunomide product on virion assembly

Transmission electron microscopy (as described in Example 5) was employed to directly visualize virion morphology within A771726-treated or untreated HSV-infected HUVEC. Observations were very similar to those of CMV-infected cells. Specifically, in the presence of A771726, HSV nucleocapsids appear not to acquire tegument in the cytoplasmic phase of assembly.

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Example 9

Effect of leflunomide products on various viruses

The anti-viral activity of various leflunomide products was tested against a variety of viruses responsible for respiratory infections according to the following procedure.

The following leflunomide products were tested: A771726 (designated compound no. 99-125), N-(4-trifluoromethylphenyl)-3-methylisoxazol-4-carboxamide (designated compound no. 99-126) and N-(3trifluoromethylphenyl)-5-methylisoxazol-4-carboxamide (designated compound no. 99-127).

Viruses were grown in suitable cell culture. Influenza A and B viruses were grown in Madin Darby canine kidney (MDCK) cells. Respiratory syncytial virus (RSV) (A2; ATCC) and parainfluenza type 3 virus (14702; clinical isolate from Hospital St. Justine, Montreal, Canada) were grown in African green monkey kidney (MA-104) cells. Measles virus (Chicago strain; CDC) was grown in African green monkey kidney (CV-1) cells. Adenovirus type 1 (65089/Chicago) was grown in human lung carcinoma (A549) cells.

Leflunomide products were tested for inhibition of viral cytopathic effect (CPE) as follows, generally as described in Barnard et al., Antiviral Chem. Chemother., 8:223-233, 1997 and Huffman et al., Antiviral Chem. Chemother., 8:75-83, 1997). The test is run in 96-well flat-bottomed microtiter plates. Seven one-half log₁₀ dilutions of each test compound was added to the cell monolayer; within 5 minutes, the virus is added and the plate is sealed and incubated at 37°C. The CPE is read microscopically when untreated infected control cells develop a 3 to 4+ CPE (approximately 72 to 96 hours). A known positive control drug is evaluated in parallel with the test drugs. The positive control drug is ribavirin for influenza, measles, RSV and parainfluenza viruses, and the positive control is (S)-1-(3-hydroxy-2-phosphonylmethoxyprophyl)adenine (HPMPA) for adenovirus.

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The data are shown below in Table 1 expressed as 50% effective virus-inhibitory concentrations (EC50).

A further test measuring neutral red dye uptake is run to validate the CPE inhibition seen in the initial test generally according to McManus, *Appl. Environment. Microbiol.*, 31:35-38, 1976). The test utilizes the same 96-well plates after the CPE has been determined. Neutral red is added to the medium in each well; cells not damaged by virus take up a greater amount of dye, which is determined spectrophotometrically on a computerized autoreader. Another EC50 value is determined from the dye uptake test.

10 Compounds considered active by both CPE inhibition and NR dye uptake are evaluated for reduction of virus yield using the same 96-well plates.

The virus titer remaining in frozen and thawed eluates from each well is determined by serial dilution onto monolayers of susceptible cells and observing development of CPE in these cells (which is an indication of presence of infectious virus). A

15 known active drug is also run in parallel as a positive control. The data is used to calculate the 90% effective concentration (EC90), which is the test drug

concentration that inhibits virus yield by 1 log₁₀.

Cytotoxicity of each test compound is also evaluated as follows. In the initial CPE inhibition tests, two wells of uninfected cells treated with each concentration of test compound are run in parallel with the infected, treated wells. When the CPE is determined microscopically, the toxicity control cells are also examined microscopically for any changes in cell appearance compared to normal uninfected, untreated control cells. Changes, which may manifest as enlargement, granularity, ragged edges, filmy appearance, rounding, detachment from the surface of the well, or other changes, are given a designation of T (100% toxic), PVH (partially toxic-very heavy-80%), PH (partially toxic-heavy-60%), P (partially toxic-40%), PS (partially toxic-slight-20%) or 0 (no toxicity-0%) in accordance with the degree of cytotoxicity observed. A 50% cell inhibitory (cytotoxic) concentration (IC50) is determined by regression analysis of these data. A neutral

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red IC50 is also determined based on uptake of neutral red dye by the toxicity control cells.

The cytotoxic effect of compounds that are anti-virally active in both the CPE and neutral red tests is further quantitated as follows. Culture plates are seeded with cells (sufficient to be approximately 20% confluent in the well) and exposed to varying concentrations of the test drug while the cells are dividing rapidly. The plates are then incubated in a CO₂ incubator at 37°C for 72 hours, neutral red is added, and the degree of color intensity indicating number of viable cells is determined spectrophotometrically. An IC50 is determined by regression analysis.

The activity of each compound is expressed as a selectivity index (SI), which is the IC50 or IC90 divided by the EC50. Generally, an SI of 10 or greater is considered indicative of good anti-viral activity, although other factors, such as a low SI for the positive control, are also considered.

Results are shown in Table 1 below and indicated that A771726 was highly active against both measles and rhinovirus. While the leflunomide products did not appear to be significantly active against RSV, other experiments in different systems have demonstrated that A771726 does have some anti-RSV activity.

20 TABLE 1

		CPE Inhibition test			Neutral Red Uptake test		
test cpd	Virus	EC50	IC50	SI	EC50	IC50	SI
99- 125	Influenza A (H1N1) with test drug	>20	29	<1.4	63	63	1.0
99- 125	Influenza A (H1N1) with positive control drug	5.8	>100	>17	5.6	>100	>18

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		CPE In	nibition t	est	Neutral Red Uptake test		
test cpd	Virus	EC50	IC50	SI	EC50	IC50	SI
99- 125	Influenza A (H3N2) with test drug	63	30	0.5	63	69	1.1
99- 125	Influenza A (H3N2) with positive control drug	5.2	>100	>19	5.4	>100	>19
99 - 125	Influenza B with test drug	>200	50	<0.2	60	65	1.1
99 - 125	Influenza B with positive control drug	2.8	>100	>36	4.6	>100	>22
99- 125	Adenovirus Type 1 with test drug	>1000	560	0	560	580	1
99- 125	Adenovirus Type 1 with positive control drug	39	310	8	23	>460	>20
99- 125	Measles Virus with test drug	<1	>660	>560	<1	>100	>100
99- 125	Measles Virus with positive control drug	41	2290	56	41	2000	49
99- 125	Parainfluenza Type 3 Virus with test drug	60	30	0	70	50	0
99- 125	Parainfluenza Type 3 Virus with positive control drug	230	2290	10	120	4100	33
99- 125	Respiratory Syncytial Virus A with test drug	60	20	0	200	143	0

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		CPE Inh	ibition te	est	Neutral Red Uptake test		
test cpd	Virus	EC50	IC50	SI	EC50	IC50	SI
99- 1 2 5	Respiratory Syncytial Virus A with positive control drug	290	2310	8	82	2700	33
99- 125	Rhinovirus Type 5 with test drug	10	1000	100	10	50 0	50
99- 125	Rhinovirus Type 5 with positive control drug	<0.27	217	>803	<0.27	>270	>1000
99- 126	Influenza A (H1N1) with test drug	>20	63	<3.2	48	48	1.0
99- 126	Influenza A (H1N1) with positive control drug	5.8	>100	>17	5.6	>100	>18
99- 126	Influenza A (H3N2) with test drug	>200	72	0	30	30	1.0
99- 126	Influenza A (H3N2) with positive control drug	5.2	>100	>19	5.4	>100	>18
99- 126	Influenza B with test drug	60	72	1.2	46	46	1.0
99- 126	Influenza B with positive control drug	2.8	>100	>36	4.6	>100	>22
99- 126	Adenovirus Type 1 with test drug	>100	60	0	>100	60	0
99- 126	Adenovirus Type 1 with positive control drug	39	310	8	23	>460	>20
99- 126	Measles Virus with test drug	10	60	6	14	25	2

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			-				
		CPE Inl	nibition to	est	Neutral	l Red Uptake test	
test cpd	Virus	EC50	IC50	SI	EC50	IC50	SI
99- 1 2 6	Measles Virus with positive control drug	41	2290	56	41	2000	49
99- 126	Parainfluenza Type 3 Virus with test drug	>100	11	0	20	20	1
99 - 126	Parainfluenza Type 3 Virus with positive control drug	230	2290	10	120	4100	33
99- 1 2 6	Respiratory Syncytial Virus A with test drug	>100	40	0	>100	30	0
99- 126	Respiratory Syncytial Virus A with positive control drug	290	2310	8	82	2700	33
99- 126	Rhinovirus Type 5 with test drug	100	100	1	>100	>100	0
99- 126	Rhinovirus Type 5 with positive control drug	<0.27	217	>803	<0.27	>270	>1000
99- 127	Influenza A (H1N1) with test drug	>200	>200	0	6 6	66	1.0
99 - 127	Influenza A (H1N1) with positive control drug	5.8	>100	>17	5.6	>100	>18
99- 127	Influenza A (H3N2) with test drug	>200	63	0	46	46	1.0
99- 127	Influenza A (H3N2) with positive control drug	5.2	>100	>19	5.4	>100	>18

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		CPE Inh	ibition to	est	Neutral Red Uptake test		
test cpd	Virus	EC50	IC50	SI	EC50	IC50	SI
99- 127	Influenza B with test drug	>200	>200	0	47	60	1.1
99- 127	Influenza B with positive control drug	2.8	>100	>36	4.6	>100	>22
99- 127	Measles Virus with test drug	>100	60	0	>100	30	0
99- 127	Measles Virus with positive control drug	41	2290	56	41	2000	4 9
99 - 127	Parainfluenza Type 3 Virus with test drug	>100	20	0	>100	15	0
99- 127	Parainfluenza Type 3 Virus with positive control drug	230	2290	10	120	4100	33
99- 127	Respiratory Syncytial Virus A with test drug	>100	30	0	>100	20	0
99- 127	Respiratory Syncytial Virus A with positive control drug	290	2310	8	82	2700	33

Compounds 99-125, -126 and -127 were tested against HSV-1, HSV-2 and hCMV using a similar protocol, except that drug is added one hour (instead of 5 minutes) prior to infection so that the assay system can detect inhibitors of early replicative steps such as adsorption or penetration as well as later events. In the assays, at least six drug concentrations were used ranging from $100 \ \mu g/ml$ to $0.03 \ \mu g/ml$ in 5-fold increments and the data were used to calculate

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the drug dose that inhibits viral replication by 50% (EC50). A cytotoxic concentration 50 (CC50) was also calculated using neutral red dye uptake. Compound 99-125 (A771726) showed good activity against human CMV but not HSV-1 and HSV-2. The difference between these results and the results with respect to HSV described in Example 8 above is explained by the fact that this protocol only evaluated CPE, rather than virus yield from the inoculated cultures, and may have used higher inoculating titers. Although A771726 appears to inhibit viral nucleocapsid tegumentation, dramatically attenuating the production of complete infectious virions by infected cells, it prevents neither the entry of the primary inoculum into host cells nor the development of morphologic cytopathic changes within infected cells. Thus, especially following high titer inoculation, simple observation of CPE provides no indication of the extent of infectious virus output, and CPE could be observed despite a reduction in virus yield provided by leflunomide product.

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Example 10

Effect of leflunomide products on hepatitis B and C viruses

The anti-viral effect of leflunomide products, alone and also in combination with uridine, on hepatitis B virus (HBV) was assayed as follows, generally according to Korna et al., *Antiviral Res.*, 217:217, 1991.

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Chronically HBV-producing 2.2.15 human liver cells (Aos et al., *Proc. Nat'l Acad. Sci.*, 84:4641, 1987) were seeded into 24-well tissue culture plates and grown to confluence. Test compounds were added daily for a continuous 9 day period and culture medium was changed daily during the treatment period. Culture medium was collected and stored for analysis of extracellular (virion) HBV DNA after 0, 3, 6 and 9 days of treatment. Treated cells were lysed 24 hours following day 9 of treatment for analysis of intracellular HBV genomic DNA. HBV DNA was then analyzed in a quantitative and qualitative manner for overall levels of HBV DNA (both intracellular and

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extracellular DNA levels) and relative rate of HBV replication (indicated by intracellular DNA levels).

HBV DNA was assayed using blot hybridization techniques (Southern and slot blot) and ³²P-labelled HBV-specific probes. HBV DNA levels were determined by comparison to known amounts of HBV DNA standards applied to every nitrocellulose membrane (gel or slot blot). An AMBIS beta scanner was used to measure radioactive decay of the hybridized probes directly from the nitrocellulose membrane. Standard curves generated by multiple analyses were used to correlate cpm measurements with relative levels of target HBV DNA. The levels of extracellular HBV virion DNA were analyzed by slot blot hybridization. The effect of drug treatment was evaluated by comparing HBV DNA levels to levels at day 0. The replication status of the HBV was determined by quantitating levels of HBV DNA in each of the three classes of viral genomic forms (episomal monomers, DNA replication intermediates and integrated HBV DNA). The levels of replication intermediates and episomal monomers were used as an indicator of the relative level of HBV replication, while the levels of integrated HBV DNA were used to normalize the relative amounts of DNA in each lane (integrated HBV DNA would be expected to remain constant on a per cell basis).

Toxicity of test compounds to cultures of 2.2.15 cells was also determined as follows. Four concentrations of each compound were assayed and compared to untreated control cultures. Toxicity was determined by the uptake of neutral red dye, as determined by absorbance at 510 nm relative to untreated cells.

Results are shown in Table 2 below and indicate that A771726 and N-(4-trifluoromethylphenyl)-3-methylisoxazol-4-carboxamide have some anti-viral effect on HBV, which is increased when the leflunomide product is combined with uridine.

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TABLE 2

		1112222		
Compound	EC50	EC90	CC50	SI
99-125	>100	>100	71 .	ND
99-126	>100	>100	110	ND
99-127	11	96	67	0.7
99-125 with uridine	34	337	78	0.2
99-126 with uridine	4.3	73	99	1.4
99-127 with uridine	4.2	31	84	2.7
uridine alone	>100	>100	>100	ND

In addition, clinical treatment of a human patient suffering from chronic hepatitis C infection with leflunomide (HWA-486) resulted in a decrease in the patient's CMV viral load. The patient is a 62 year old white male who received a liver fransplant in January 1990 for hepatitis C. Over the following nine years he developed indolent hepatitis C with mildly elevated liver enzymes. Because of slowly progressive kidney impairment, presumably related to a combination of chronic hepatitis C and long term cyclosporine A treatment, he was started on leflunomide treatment. A liver biopsy at the time of initiation of leflunomide showed moderate fibrosis and mild portal inflammation consistent with chronic hepatitis C. After an initial leflunomide loading dose of 300 mg daily for two days, a maintenance dose of 50 mg daily was started.

His blood levels of A771726 have gradually risen to 113 μ g/ml. When his A771726 blood levels reached 40 μ g/ml, his dose of cyclosporine was gradually reduced from 160 mg daily to 60 mg daily, and his 12 hour cyclosporine trough blood levels fell from 150 ng/ml at the initiation of therapy to 70 ng/ml at the time of repeat hepatitis C testing. His 10 mg daily dose of prednisone has not been changed.

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As the new treatment regimen was implemented, his kidney function improved; his serum creatinine fell from 3.6 mg/dl to 2.2 mg/dl and his BUN fell from 94 mg/dl to 55 mg/dl. Just before leflunomide was begun his hepatitis C viral load in peripheral blood was 3,120,000 copies, measured by quantitative PCR (Quest Diagnostics). After six weeks of therapy with leflunomide, his hepatitis C viral load had fallen to 1,480,000 copies, measured by the same PCR methodology.

This data indicates that the leflunomide therapy was associated with a 50% drop in this patient's hepatitis C viral load.

Numerous modifications and variations in the practice of the invention are expected to occur to those skilled in the art upon consideration of the foregoing description of the presently preferred embodiments thereof.

Consequently, the only limitations which should be placed upon the scope of the present invention are those which appear in the appended claims.

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WHAT IS CLAIMED IS:

- 1. A method for treating virus infection comprising the step of administering to a subject in need thereof an anti-viral amount of a leflunomide product.
- 5 2. The method of claim 1 wherein the leflunomide product is N-(4-trifluoromethylphenyl)-5-methylisoxazol-4-carboxamide (HWA 486).
 - 3. The method of claim 1 wherein the leflunomide product is N-(4-trifluoromethylphenyl)-2-cyano-3-hydroxycrotonamide (A771726).
 - 4. The method of claim 1 wherein the amount of leflunomide product administered is from about 0.1 to 80 mg/day.
 - 5. The method of claim 1 wherein the virus is a herpesvirus.
 - 6. The method of claim 5 wherein the virus is selected from the group consisting of paramyxoviruses, picornaviruses and hepatitis viruses.
- 7. The method of claim 1 wherein the virus is selected from the group consisting of CMV, HSV, measles virus, rhinoviruses, hepatitis B and hepatitis C.
 - 8. The method of claim 1 wherein another anti-viral agent is administered to the subject.
- 9. The method of claim 1 wherein a pyrimidine is administered to the subject.

- 10. The method of claim 1 wherein the virus is resistant to antiviral agents that inhibit viral DNA replication.
- 11. The method of claim 1 wherein the subject is at risk of viral infection.
- 5 12. The method of claim 1 wherein the subject is suffering from virus infection.
 - 13. A method of inhibiting growth of a virus in a cell comprising the step of contacting the cell with an amount of leflunomide product effective to inhibit virion assembly.
- 14. A method of screening for a leflunomide product or derivative thereof having anti-viral activity comprising the steps of:
 - (a) growing a virus in cell culture,
 - (b) contacting the cell culture with a test amount of leflunomide product or derivative thereof, and
 - (c) determining the effect of the test amount on viral growth.
 - 15. Use of a leflunomide product in the preparation of a medicament for treatment of viral infection.

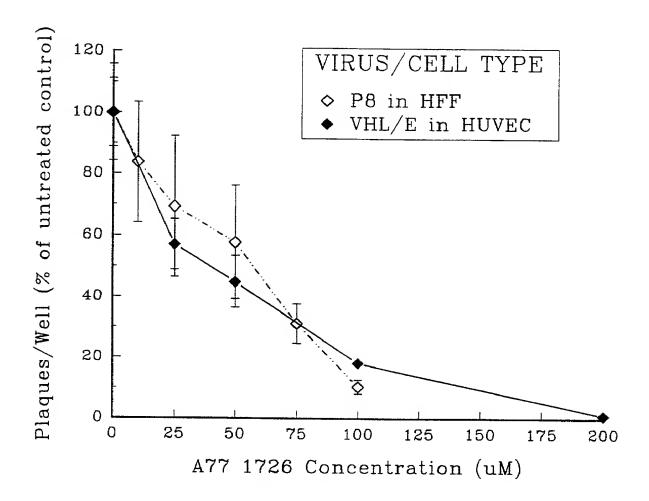


FIGURE 1

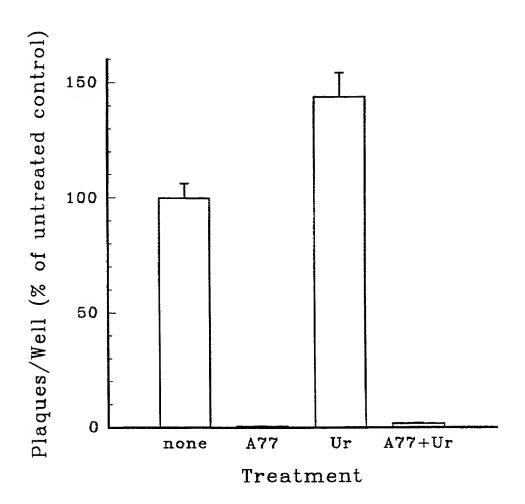


FIGURE 2

3 / 9

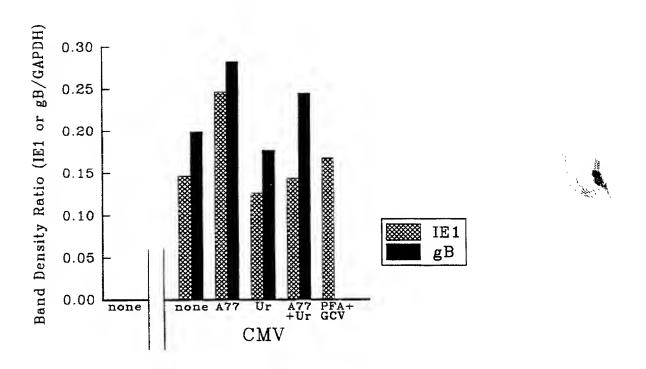


Figure 3

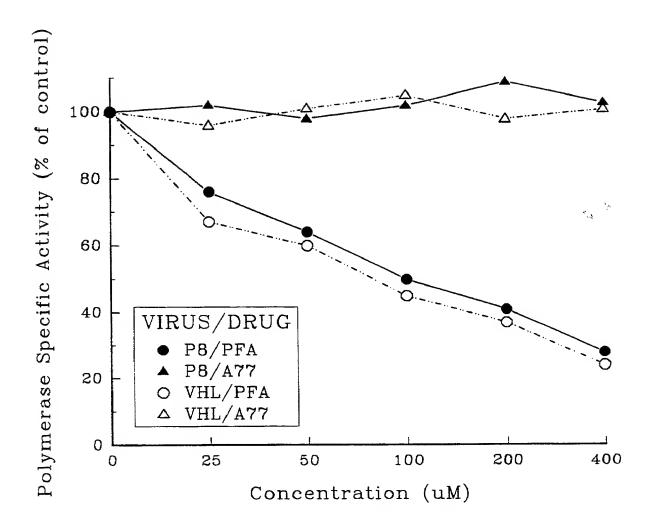


FIGURE 4

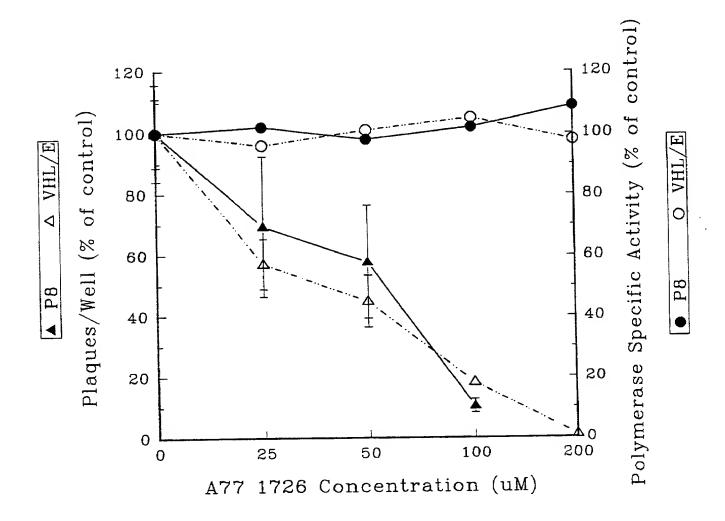


FIGURE 5

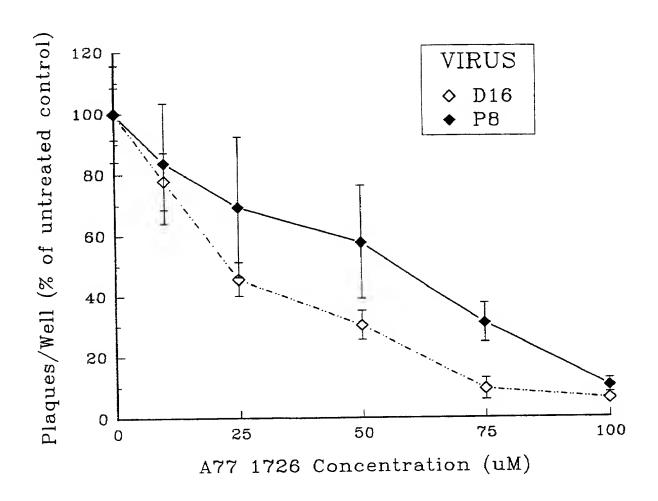


FIGURE 6

PCT/US99/05326

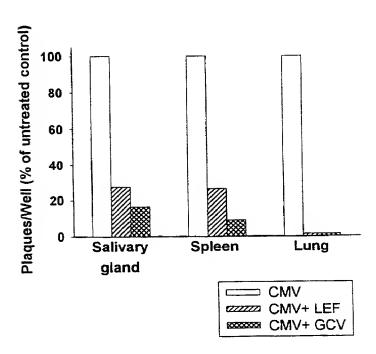


FIGURE 7

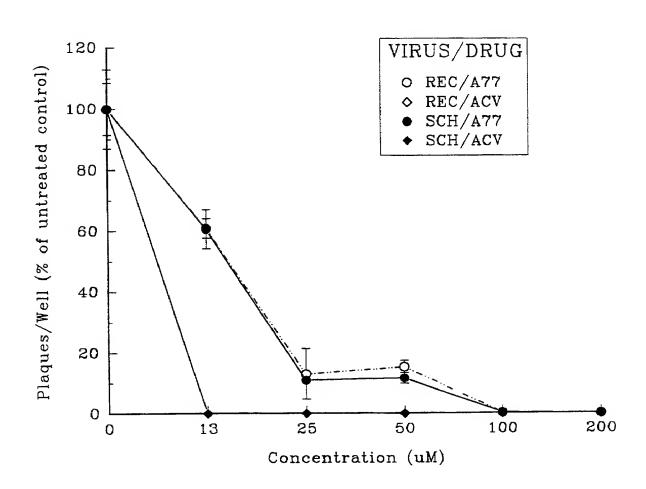


Figure 8

PCT/US99/05326

Polymerase Specific Activity (% of control) 100 80 **CELLS/DRUG** - VERO/PFA 60 VERO/A77 - EC/PFA EC/A77 40 20 25 50 200 400 100 800 0

Concentration (µM)

FIGURE 9

Atty. Docket No: 28385/35415 (now 29666/35415)

DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

As a below named invent	tor, I hereby declare that my residence, pos	t office address and citizenship are as	stated below next
to my name; I believe that I am the	he original, first and sole inventor (if only	one name is listed below) or an origin	nal, first and joint
inventor (1f plural names are liste	ed below) of the subject matter which is cl	aimed and for which a patent is sough	t on the invention
entitled "ANTI-VIRAL USES O	F LEFLUNOMIDE PRODUCTS," the s	specification of which (check one): 1	s attached hereto;
□ was filed on	as Application Serial No	and was amended on	
(ıf applicable); ⊠ was	filed as PCT International Application No.	. PCT/US99/05326 on March 11, 1999	and was amended
	(ıf applicable). I hereby state		
above-identified specification, inc	luding the claims, as amended by any ame	endment(s) referred to above. I acknow	vledge the duty to
disclose to the Patent and Tradema	ark Office all information known to me to b	be material to patentability as defined in	37 C.F.R. §1.56.
I hereby claim foreign pr	iority benefits under 35 U.S.C. §119 of any	y foreign application(s) for patent or inv	entor's certificate
or of any PCT international applic	ation(s) designating at least one country o	other than the United States of America	a listed below and
have also identified below any f	foreign application(s) for patent or inven-	tor's certificate or any PCT internatio	nal application(s)
Transition of the state of the	ther than the United States of America file		
before that of the application(s) of	of which priority is claimed:		
70 M			Priority Claimed
(Application Serial Number)	(Country)	(Day/Month/Year Filed)	□ □ Yes No
g U	(Country)	(Day/Month/Teal Flied)	168 140
in the second se			0 0
(Application Serial Number) I hereby claim the benef	(Country)	(Day/Month/Year Filed)	Yes No
I hereby claim the benef	it under 35 U.S.C. §119(e) of any United	States provisional application(s) listed	l below:
]] 60/077,552		11 March 1998	
(Application Serial Number)		(Day/Month/Year Filed)	
(Application Serial Number)		(Day/Month/Year Filed)	
I hereby claim the bene	fit under 35 U.S.C. §120 of any United S	States application(s) or PCT internation	mal application(s)
designating the United States of	America listed below and, insofar as the s	subject matter of each of the claims of	this application is
	on(s) in the manner provided by the first		
disclose to the Office all inform	nation known to me to be material to pate	entability as defined in 37 C.F.R. §1.5	6 which occurred
	or application(s) and the national or PCT		
(Application Serial Number)	(Day/Month/Year Filed)	(Status-Patented, Pe	ending or Abandoned)
(Application Serial Number)	(Day/Month/Year Filed)	(Status-Patented, P	ending or Abandoned)
71 1 1 1 1 1 1		dge are true and that all statements ma	do ou information

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Alvin D. Shulman (19,412) Allen H. Gerstein (22,218) Nate F. Scarpelli (22,320) Edward M. O'Toole (22,477) Michael F. Borun (25,447) Trevor B. Joike (25,542) Carl E. Moore, Jr. (26,487) Richard H. Anderson (26,526) Patrick D. Ertel (26,877) James P. Zeller (28,491) William E. McCiacken (30,195) Richard A. Schnurr (30,890) Anthony Nimmo (30,920) Christine A. Dudzik (31,245) Ieffrey S Sharp (31,879)
Martin J Husch (32,237)
James J Napoli (32,361)
Richard M La Barge (32,254)
Li-Hsien Rin-Laures, M D (33,547)
Douglass C Hochstellei (33,710)
Robert M Gerstein (34,824)

David W Clough (36.107) Richard A Brandon (37.051) James A Flight (37,622) Roger A Heppermann (37,641) David A Gass (38,153) Gregory C Mayer (38,238)

Send correspondence to: L1-H51en Rin-Laures, M.D.

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Murray & Borun 312-474-6300 233 South Wacker Drive Chicago, Illmois 60606-6402 Full Name of First or Sole Inventor James W. Williams Residence Address - Street 655 Superior City (Zip) Oak Park, 60302 State or Country Illinois Date Signature Signature	-
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$\begin{array}{c c} \text{City } (\textbf{Zip}) & \text{City } (\textbf{Zip}) \\ \text{Dublin, 43017} & \text{Dublin, 43017} \\ \text{State or Country} & \text{State or Country} \\ \text{Ohio} & \text{Ohio} \\ \hline \text{Date} & \text{Signature} \\ \boxtimes & \text{4/5/00} & \boxtimes & \text{Signature} \\ \hline \end{array}$	
Fourth Joint Inventor, if any Citizenship	
Residence Address - Street Post Office Address - Street	
City (Zip)	
State or Country Date Signature	

APPLICABLE RULES AND STATUTES

37 CFR 1.56 DUTY OF DISCLOSURE - INFORMATION MATERIAL TO PATENTABILITY (Applicable Portion)

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
 - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentability defines, to make sure that any material information contained therein is disclosed to the Office.

Information relating to the following factual situations enumerated in 35 USC 102 and 103 may be considered material under 37 CFR = 1.56(a).

15 U.S.C. 102. CONDITIONS FOR PATENTABILITY NOVELTY AND LOSS OF RIGHT TO PATENT

A person shall be entitled to a patent unless --

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in Ithis or a foreign country, before the invention thereof by the applicant for patent, or
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or
 - (c) he has abandoned the invention, or

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- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States, or
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraph (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or
 - (f) he did not himself invent the subject matter sought to be patented, or
- (g) before the applicant's invention thereof the invention was made in this country by another who had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

35 U.S.C. 103. CONDITIONS FOR PATENTABILITY; NON-OBVIOUS SUBJECT MATTER (Applicable Portion)

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

35 U.S.C 112. SPECIFICATION (Applicable Portion)

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Atty. Docket No: 28385/35415

DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY

				doned)
(Application Serial Number)	(Day/Month/Year Filed)	(Status-Patented, Pe	nding or Aband	ioned
between the filing date of the prior a	pplication(s) and the national or PCT	international filing date of this applicat	ion:	
	-	ntability as defined in 37 C.F.R. §1.56		urred
not disclosed in the prior application(s) in the manner provided by the first	paragraph of 35 U.S.C. §112, I acknow	ledge the du	ity to
designating the United States of Ame	erica listed below and, insofar as the s	subject matter of each of the claims of t	his applicati	ion is
I hereby claim the benefit ι	under 35 U.S.C. §120 of any United S	States application(s) or PCT internation	nal application	on(s)
(Application Serial Number)		(Day/Month/Year Filed)		
(Application Serial Number)		(Day/Month/Year Filed)		
60/077,552		11 March 1998		
I hereby claim the benefit us 60/077,552 (Application Serial Number)	nder 35 U.S.C. §119(e) of any United	States provisional application(s) listed	below:	
(Application Serial Number)	(Country)	(Day/Month/Year Filed)	□ Yes	□ No
(Application Serial Number)	(Country)	(Day/Month/Year Filed)	Yes	No
			Priority Cla	imed
before that of the application(s) of w	hich priority is claimed:			
designating at least one country other	than the United States of America file	ed by me on the same subject matter ha	ving a filing	g date
have also identified below any fore	ign application(s) for patent or inven	tor's certificate or any PCT internation	nal application	on(s)
or of any PCT international application	n(s) designating at least one country of	ther than the United States of America	listed below	v and
I hereby claim foreign priori	ty benefits under 35 U.S.C. §119 of an	y foreign application(s) for patent or inv	entor's certif	ficate
defined in 37 C.F.R. §1.56.				
acknowledge the duty to disclose to t	he Patent and Trademark Office all in	formation known to me to be material t	o patentabili	ity as
contents of the above-identified sp	ecification, including the claims, as	amended by any amendment(s) refer	red to abov	/e.]
amended under Article 19 on	(if applicable).	I hereby state that I have reviewed an	d understan	d the
		ion No. <u>PCT/US99/05326</u> on <u>March 1</u>		
		and was amended on		
· -	•	specification of which (check one):	•	
inventor (if plural names are listed b	•	laimed and for which a patent is sought		
		one name is listed below) or an origin		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: I hereby appoint as my attorneys, with full powers of substitution and revocation, to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

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APPLICABLE RULES AND STATUTES

37 CFR 1.56., DUTY OF DISCLOSURE - INFORMATION MATERIAL TO PATENTABILITY (Applicable Portion)

- (a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is canceled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclose all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:
 - (1) prior art cited in search reports of a foreign patent office in a counterpart application, and
 - (2) the closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentability defines, to make sure that any material information contained therein is disclosed to the Office.

Information relating to the following factual situations enumerated in 35 USC 102 and 103 may be considered material under 37 CFR 1.56(a).

35 U.S.C. 102. CONDITIONS FOR PATENTABILITY: NOVELTY AND LOSS OF RIGHT TO PATENT

A person shall be entitled to a patent unless --

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent, or
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or is on sale in this country, more than one year prior to the date of the application for patent in the United States, or
 - (c) he has abandoned the invention, or

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- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months before the filing of the application in the United States, or
 - (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraph (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or
 - (f) he did not himself invent the subject matter sought to be patented, or
 - (g) before the applicant's invention thereof the invention was made in this country by another who had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

35 U.S.C. 103. CONDITIONS FOR PATENTABILITY; NON-OBVIOUS SUBJECT MATTER (Applicable Portion)

A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Subject matter developed by another person, which qualifies as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

35 U.S.C 112. SPECIFICATION (Applicable Portion)

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.